

1     **The role of horizontal thermal advection in regulating wintertime**  
2             **mean and extreme temperatures over interior North America**  
3                     **during the past and future**

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24

25 ABSTRACT

26 Horizontal thermal advection plays an especially prominent role in affecting winter  
27 climate over continental interiors, where both climatological conditions and extreme weather are  
28 strongly regulated by transport of remote air masses. Interior North America is one such region,  
29 and it experiences occasional cold-air outbreaks (CAOs) that may be related to amplified Arctic  
30 warming. Despite the known importance of dynamics in shaping the winter climate of this sector  
31 and the potential for climate change to modify heat transport, limited attention has been paid to  
32 the regional impact of thermal advection. Here, we use a reanalysis product and output from the  
33 Community Earth System Model’s Large Ensemble to quantify the roles of zonal and meridional  
34 temperature advection over the central United States during winter, both in the late 20<sup>th</sup> and late  
35 21<sup>st</sup> centuries. We frame our findings as a “tug of war” between opposing influences of the two  
36 advection components and between these dynamical forcings vs. thermodynamic changes under  
37 greenhouse warming. During both historical and future periods, zonal temperature advection is  
38 stronger than meridional advection east of the Rockies. The model simulates a future weakening  
39 of both zonal and meridional temperature advection, such that westerly flow provides less  
40 warming and northerly flow less cooling. On the most extreme cold days, meridional cold-air  
41 advection is more important than zonal warm-air advection. CAOs in the future feature stronger  
42 northerly flow but less extreme temperatures (even relative to the warmer climate), indicating the  
43 importance of other mechanisms such as snow cover and sea ice changes.

44

45 **Keywords:**

46 thermal advection, extreme temperatures, future projection, CESM Large Ensemble, North  
47 America, Arctic amplification

## 48 **1. Introduction**

49 Extratropical continental interiors are characterized by high wintertime temperature  
50 variability on interannual and intraseasonal timescales (de Vries et al. 2012, Holmes et al. 2016).  
51 Low terrestrial heat capacity, episodic snow cover, and active atmospheric circulation patterns  
52 during this season promote large swings in temperature compared with the more moderate mid-  
53 latitude oceans. Heat transport by prevailing winds is known to be a major contributor to these  
54 thermal variations, yet few studies have quantified the role of thermal advection in affecting the  
55 mean wintertime climate of extratropical land masses.

56 In addition, wintertime extreme temperature events occasionally influence large regions of  
57 the populous midlatitudes. Extreme cold events have attracted widespread attention after a recent  
58 series of Cold Air Outbreaks (CAOs) hit the U. S. (Walsh et al. 2001; Cohen et al. 2014; Cellitti  
59 et al. 2006; Smith and Sheridan 2018), such as the ones during the winters of 2009/10,  
60 2010/2011, 2013/14 (Wang et al. 2010; Hartmann et al. 2015; Lee et al. 2015; Marinaro et al.  
61 2015; Screen et al. 2015). All of these CAOs produced significant societal impacts. For example,  
62 the early 2014 North American event affected much of Canada and the United States, resulting in  
63 record low temperatures at numerous locations east of the Rockies and leading to the closure of  
64 schools and businesses (Screen et al. 2015). Since 2000 over the land area from 20°N to 50°N,  
65 the number of icing days and the percentage of cold winter months have been increasing, and the  
66 coldest daily minimum temperature is decreasing (Cohen et al. 2014). Using a severe winter  
67 weather index, Cohen et al. (2018) conclude that severe CAOs and heavy snowfalls have  
68 occurred more frequently in the eastern U.S. during 1990–2016. Extreme warm events during  
69 winter receive less attention than CAOs, yet warm spells also have significant ecological and  
70 economic impacts. Extreme warmth in late winter causes vegetation to leaf out earlier, but the

71 subsequent freezing temperature can lead to the dieback of young growth (Polgar and Primack  
72 2011). In this paper, both extreme cold and warm events in winter are analyzed.

73 It is still under debate whether severe winters in middle latitudes can be attributed to  
74 enhanced Arctic warming, tropical influences, natural variability, or some combination of all of  
75 these factors. For example, some studies suggest that prolonged cold spells in mid-latitudes will  
76 increase as sea ice loss continues (Honda et al. 2009; Petoukhov and Semenov 2010; Francis and  
77 Vavrus 2012; Liu et al. 2012; Tang et al. 2013; Cohen et al. 2018), while others indicate the  
78 opposite (Barnes 2013; Barnes et al. 2014; Screen and Simmonds 2013; Screen 2014; Wallace et  
79 al. 2014; Screen et al. 2015; Ayarzagüena and Screen 2016). These inconsistencies reflect the  
80 likely existence of competing “tug-of-war” effects. The first tug-of-war involves the Arctic and  
81 tropics (Barnes and Polvani 2015; Francis 2017). Global warming is amplified in the Arctic  
82 (Serreze et al. 2009), where Arctic sea ice is melting dramatically (Vaughan et al. 2013) and the  
83 near-surface air temperature is increasing at a pace two-to-three times the global average  
84 (Francis et al. 2017; Screen 2017) – a phenomenon known as Arctic Amplification (AA, Serreze  
85 et al. 2009; Cohen et al. 2014). It has been suggested that the reduced meridional temperature  
86 gradient in the lower troposphere favors a deceleration of midlatitude zonal winds aloft, a  
87 weakening of the polar jet stream, and possibly a meridional stretching of Rossby waves, which  
88 can increase the frequency of blocking events and extreme weather events (Francis and Vavrus  
89 2012). Concurrently, projected global warming is also amplified over the tropical upper  
90 troposphere (Barnes and Polvani 2015)---although this warming is larger than the satellite  
91 observations indicate (Fu et al. 2011, Seidel et al. 2012, Sohn et al. 2016)---which strengthens  
92 the meridional temperature gradient in upper levels, accelerates the sub-tropical jet stream and  
93 may decrease atmospheric waviness (Vavrus et al. 2017). Although some evidence suggests that  
94 AA prevails in this regional tug-of-war and has led to a wavier circulation since the early 1990s

95 (Feldstein and Lee 2014; Cohen 2016), there is still no clear evidence how this dynamic change  
96 has affected extreme cold events.

97 The second tug-of-war competition occurs between dynamic and thermodynamic changes in  
98 middle latitudes as the climate warms. The dynamic effect refers to the tendency for AA to  
99 promote a more meandering atmospheric circulation and thus stronger northerly winds during  
100 winter in some regions, which results in more cold Arctic air transported southward and can  
101 produce more extreme cold weather. By contrast, the thermodynamic effect refers to the fact that  
102 AA causes northerly winds to transport moderated Arctic air masses southward and thus produce  
103 less extreme cold weather. The opposing impacts between the dynamic and thermodynamic  
104 influences was noted by Screen (2017), who found that the expected European winter cooling  
105 due to a negative North Atlantic Oscillation response to Arctic sea ice loss is canceled by the  
106 enhanced upstream warming of the Arctic.

107 In this paper, we focus on the second tug-of-war. Through investigating the roles of zonal  
108 and meridional temperature advection in mean and extreme winter climate conditions over  
109 interior North America, both in the recent past (late 20<sup>th</sup> century) and the future (late 21<sup>st</sup>  
110 century), the thermodynamic and dynamic roles can be decomposed. The current paper  
111 represents the first attempt to systematically quantify the contributions of zonal and meridional  
112 temperature advection to mean and extreme winter conditions. The data and methods are  
113 introduced in section 2. The observed and simulated recent climatology of horizontal  
114 temperature advection are compared in section 3. Future changes in the climatology of horizontal  
115 temperature advection are described in section 4. In section 5, the role of horizontal temperature  
116 advection on extreme winter days and its future changes are investigated. The conclusions and  
117 further discussion are presented in section 6.

118

119 **2. Data and methods**

120

121 *2.1 Data*

122 We utilize daily mean data from the European Centre for Medium-Range Weather  
123 Forecasts (ECMWF) Re-Analysis Interim (ERA-Interim) dataset with horizontal resolution of  
124  $0.7^{\circ} \times 0.7^{\circ}$  during the period 1979-2016 (Dee et al. 2011). The results of the ERA-Interim data are  
125 used to validate the simulated historical horizontal temperature advection.

126 To investigate recent horizontal temperature advection and its future changes, we analyze  
127 output from the Community Earth System Model Large Ensemble (CESM-LE; Kay et al. 2015).  
128 The CESM-LE is a fully coupled global model that uses the CESM1 Community Atmospheric  
129 Model version 5 (CAM5) as its atmospheric component. We analyze the simulated historical and  
130 projected (Representative Concentration Pathway 8.5 (RCP8.5) atmospheric data from 40  
131 realizations of the CESM-LE. Each ensemble member uses observed historical forcing from  
132 1920 to 2005 and RCP8.5 forcing from 2006 to 2100. The ensemble members differ from each  
133 other by only small round-off level variations in their atmospheric initial conditions. To compare  
134 with the horizontal temperature advection in reanalysis data, the same time period is analyzed by  
135 bridging the simulated historical (1979-2005) and projected (2006-2016) outputs together in  
136 CESM-LE. To further study the simulated future changes in temperature advection, the late 20<sup>th</sup>  
137 century (1971-2000) and late 21<sup>st</sup> century (2071-2100) are compared over North America ( $20^{\circ}\text{N}$   
138  $- 75^{\circ}\text{N}$ ,  $160^{\circ}\text{W} - 50^{\circ}\text{W}$ ). The daily wintertime (December, January, and February) air  
139 temperature and zonal and meridional wind fields are used to calculate horizontal temperature  
140 advection at 850 hPa, which is the only lower-tropospheric level in CESM-LE where the  
141 required daily output was saved.

142

143 2.2 The climatology of horizontal temperature advection

144 The horizontal temperature advection includes two parts: zonal ( $-U \frac{\partial T}{\partial x}$ ) and meridional  
 145 ( $-V \frac{\partial T}{\partial y}$ ) temperature advection, where  $T$ ,  $U$ , and  $V$  represent air temperature, zonal, and  
 146 meridional wind, respectively (Martin 2006). The common time period 1979-2016 is analyzed  
 147 when comparing the reanalysis and simulated horizontal temperature advection climatology,  
 148 although the horizontal temperature advection during this period and 1970-2000 is almost the  
 149 same. To indicate the time period, the subscripts "his" or "rcp" are added. For example,  
 150  $-U_{his} \frac{\partial T_{his}}{\partial x}$  and  $-V_{rcp} \frac{\partial T_{rcp}}{\partial y}$  represent historical zonal temperature advection and projected  
 151 meridional temperature advection, respectively.

152 The climatology of horizontal temperature advection ( $termA$ ) in each time period is  
 153 represented with an overbar. For instance,  $\overline{-U_{his} \frac{\partial T_{his}}{\partial x}}$  and  $\overline{-V_{rcp} \frac{\partial T_{rcp}}{\partial y}}$  represent the climatology  
 154 of historical zonal temperature advection and the climatology of projected meridional  
 155 temperature advection, respectively. The climatology of temperature advection ( $termA$ ) can be  
 156 further broken down into two terms by decomposing each variable into its climatology ( $\overline{\quad}$ ) and  
 157 the anomaly from its climatology ( $\prime$ ):

158 
$$T_{his} = \overline{T_{his}} + T'_{his} \quad (1)$$

159 
$$U_{his} = \overline{U_{his}} + U'_{his} \quad (2)$$

160 Substituting (1) and (2) into  $\overline{-U_{his} \frac{\partial T_{his}}{\partial x}}$  yields:

161 
$$\overline{-U_{his} \frac{\partial T_{his}}{\partial x}} = \overline{-(\overline{U_{his}} + U'_{his}) \frac{\partial(\overline{T_{his}} + T'_{his})}{\partial x}}$$

162 
$$= -\overline{U_{his}} \frac{\partial \overline{T_{his}}}{\partial x} - \overline{U'_{his}} \frac{\partial \overline{T_{his}}}{\partial x} - \overline{\overline{U_{his}}} \frac{\partial T'_{his}}{\partial x} - \overline{U'_{his}} \frac{\partial \overline{T_{his}}}{\partial x}$$

$$163 \quad = -\overline{U_{his}} \frac{\partial \overline{T_{his}}}{\partial x} - \overline{U'_{his}} \frac{\partial \overline{T'_{his}}}{\partial x} - \overline{U_{his}} \frac{\partial \overline{T'_{his}}}{\partial x} - \overline{U'_{his}} \frac{\partial \overline{T_{his}}}{\partial x} \quad (3)$$

164 Since  $\overline{T'_{his}}$  and  $\overline{U'_{his}}$  are equal to 0, the last 2 terms on the right-hand-side (RHS) of (3) are also 0.

165 The same decomposition of historical meridional temperature advection and projected  
166 zonal and meridional advection creates the following set of equations (Eqs.):

$$167 \quad \left\{ \begin{array}{l} \left\{ -\overline{U_{his}} \frac{\partial \overline{T_{his}}}{\partial x} \right\} = \left\{ -\overline{U_{his}} \frac{\partial \overline{T_{his}}}{\partial x} \right\} + \left\{ -\overline{U'_{his}} \frac{\partial \overline{T'_{his}}}{\partial x} \right\} \\ \left\{ -\overline{V_{his}} \frac{\partial \overline{T_{his}}}{\partial y} \right\} = \left\{ -\overline{V_{his}} \frac{\partial \overline{T_{his}}}{\partial y} \right\} + \left\{ -\overline{V'_{his}} \frac{\partial \overline{T'_{his}}}{\partial y} \right\} \\ \left\{ -\overline{U_{rcp}} \frac{\partial \overline{T_{rcp}}}{\partial x} \right\} = \left\{ -\overline{U_{rcp}} \frac{\partial \overline{T_{rcp}}}{\partial x} \right\} + \left\{ -\overline{U'_{rcp}} \frac{\partial \overline{T'_{rcp}}}{\partial x} \right\} \\ \left\{ -\overline{V_{rcp}} \frac{\partial \overline{T_{rcp}}}{\partial y} \right\} = \left\{ -\overline{V_{rcp}} \frac{\partial \overline{T_{rcp}}}{\partial y} \right\} + \left\{ -\overline{V'_{rcp}} \frac{\partial \overline{T'_{rcp}}}{\partial y} \right\} \end{array} \right. \quad (4)$$

$$\mathbf{termA} = \mathbf{termB} + \mathbf{termC}$$

168 We call the first term (*termB*) on the RHS of Eqs (4) the pure climatology term, since it  
169 represents advection of the climatological temperature gradient by the climatological wind. The  
170 second term (*termC*) is the nonlinear term, which represents advection of the anomalous  
171 temperature gradient by the anomalous wind from its climatology.

172

### 173 2.3 The change in horizontal temperature advection

174 The change of horizontal temperature advection between the late 21<sup>st</sup> and late 20<sup>th</sup>  
175 centuries (*diffA*) is defined as  $\mathbf{termA}_{rcp} - \mathbf{termA}_{his}$ . Then,

$$176 \quad \left\{ \begin{array}{l} \left\{ -\overline{U_{rcp}} \frac{\partial \overline{T_{rcp}}}{\partial x} - \left( -\overline{U_{his}} \frac{\partial \overline{T_{his}}}{\partial x} \right) \right\} = \left\{ -\Delta U \frac{\partial \overline{T_{his}}}{\partial x} \right\} + \left\{ -\overline{U_{his}} \frac{\partial \Delta T}{\partial x} \right\} + \left\{ -\Delta U \frac{\partial \Delta T}{\partial x} \right\} + \left\{ -\overline{U'_{rcp}} \frac{\partial \overline{T'_{rcp}}}{\partial x} - \left( -\overline{U'_{his}} \frac{\partial \overline{T'_{his}}}{\partial x} \right) \right\} \\ \left\{ -\overline{V_{rcp}} \frac{\partial \overline{T_{rcp}}}{\partial y} - \left( -\overline{V_{his}} \frac{\partial \overline{T_{his}}}{\partial y} \right) \right\} = \left\{ -\Delta V \frac{\partial \overline{T_{his}}}{\partial y} \right\} + \left\{ -\overline{V_{his}} \frac{\partial \Delta T}{\partial y} \right\} + \left\{ -\Delta V \frac{\partial \Delta T}{\partial y} \right\} + \left\{ -\overline{V'_{rcp}} \frac{\partial \overline{T'_{rcp}}}{\partial y} - \left( -\overline{V'_{his}} \frac{\partial \overline{T'_{his}}}{\partial y} \right) \right\} \end{array} \right. \quad (5)$$

$$\mathbf{diffA} = \mathbf{diffB1} + \mathbf{diffB2} + \mathbf{diffB3} + \mathbf{diffC}$$

177

178 where,  $\Delta U = \overline{U_{rcp}} - \overline{U_{his}}$ ,  $\Delta V = \overline{V_{rcp}} - \overline{V_{his}}$ , and  $\Delta T = \overline{T_{rcp}} - \overline{T_{his}}$ .

179 We call the first three terms on the RHS of Eqs (5) the dynamic term ( $diffB1$ ),  
 180 thermodynamic term ( $diffB2$ ), and higher-order term ( $diffB3$ ), and the sum of the last 2 terms  
 181 the non-linear term ( $diffC$ ). The dynamic term ( $diffB1$ ) represents the temperature advection  
 182 change caused by a change in wind. The thermodynamic term ( $diffB2$ ) represents the  
 183 temperature advection change caused by a change in the temperature gradient. The higher-order  
 184 term ( $diffB3$ ) indicates the temperature advection change caused by both a change in wind and  
 185 temperature gradient, which is usually one order of magnitude smaller than  $diffB1$  and  $diffB2$ .  
 186 The sum of  $diffB1$ ,  $diffB2$  and  $diffB3$  equals  $termB_{rcp} - termB_{his}$ .

187 To measure the importance of each component to the total change of advection, the  
 188 percentage contribution from each term is calculated by dividing  $diffA$  on both sides of Eq. (5),

$$189 \quad 1 = \frac{diffB1}{diffA} + \frac{diffB2}{diffA} + \frac{diffB3}{diffA} + \frac{diffC}{diffA} \quad (6)$$

190

#### 191 *2.4 The change in horizontal temperature advection on extreme days*

192 Our analysis of extreme days targets the central U.S. (CUS, 30°N – 50°N, 100°W –  
 193 85°W), a relatively low-lying region that avoids topographic complications (Fig. S1), exhibits  
 194 large wintertime temperature variability (Fig. S2), and has experienced many CAOs (Walsh et al.  
 195 2001, Vavrus et al. 2006). We sort the area-averaged CUS 2-m daily air temperature ( $T2m$ )  
 196 during winter into 20 bins, ranging from the coldest to warmest 5% of all days. Extreme days are  
 197 defined here as the 5% coldest and 5% warmest days in the historical and future time periods.  
 198 For each bin there are 5400 cases (30 years  $\times$  90 winter days  $\times$  40 ensemble members  $\times$   
 199 5%).

200 The climatology of zonal temperature advection can therefore be written as:

201 
$$\overline{-U_{his} \frac{\partial T_{his}}{\partial x}} = \sum_{i=1}^{nbin} \left[ -U_{his} \frac{\partial T_{his}}{\partial x} \right]_i \quad (7)$$

202 where  $i$  indicates the bin number, and  $nbin$  is the total number of bins (20).  $[ \ ]_i$  indicates the  
 203 mean over the  $i^{th}$  bin. For each bin the horizontal temperature advection can be decomposed into  
 204 four terms:

205 
$$\left\{ \begin{array}{l} \left[ -U_{his} \frac{\partial T_{his}}{\partial x} \right]_i = \left\{ -\overline{U_{his} \frac{\partial T_{his}}{\partial x}} \right\} + \left[ -U'_{his} \frac{\partial \overline{T_{his}}}{\partial x} \right]_i + \left[ -\overline{U_{his}} \frac{\partial T'_{his}}{\partial x} \right]_i + \left[ -U'_{his} \frac{\partial T'_{his}}{\partial x} \right]_i \\ \left[ -V_{his} \frac{\partial T_{his}}{\partial y} \right]_i = \left\{ -\overline{V_{his} \frac{\partial T_{his}}{\partial y}} \right\} + \left[ -V'_{his} \frac{\partial \overline{T_{his}}}{\partial y} \right]_i + \left[ -\overline{V_{his}} \frac{\partial T'_{his}}{\partial y} \right]_i + \left[ -V'_{his} \frac{\partial T'_{his}}{\partial y} \right]_i \\ \left[ -U_{rcp} \frac{\partial T_{rcp}}{\partial x} \right]_i = \left\{ -\overline{U_{rcp} \frac{\partial T_{rcp}}{\partial x}} \right\} + \left[ -U'_{rcp} \frac{\partial \overline{T_{rcp}}}{\partial x} \right]_i + \left[ -\overline{U_{rcp}} \frac{\partial T'_{rcp}}{\partial x} \right]_i + \left[ -U'_{rcp} \frac{\partial T'_{rcp}}{\partial x} \right]_i \\ \left[ -V_{rcp} \frac{\partial T_{rcp}}{\partial y} \right]_i = \left\{ -\overline{V_{rcp} \frac{\partial T_{rcp}}{\partial y}} \right\} + \left[ -V'_{rcp} \frac{\partial \overline{T_{rcp}}}{\partial y} \right]_i + \left[ -\overline{V_{rcp}} \frac{\partial T'_{rcp}}{\partial y} \right]_i + \left[ -V'_{rcp} \frac{\partial T'_{rcp}}{\partial y} \right]_i \end{array} \right. \quad (8)$$

**termA<sub>i</sub> = termB + termD<sub>i</sub> + termE<sub>i</sub> + termC<sub>i</sub>**

206 For each bin, the temperature advection consists of the pure climatology term (*termB*),  
 207 which is the same *termB* as in Eqs. (4), the temperature advection of the climatological  
 208 temperature gradient by wind anomalies in the bin (*termD<sub>i</sub>*), the temperature advection of  
 209 anomalous temperature gradient in the bin by the climatological wind (*termE<sub>i</sub>*), and the non-  
 210 linear term in the bin (*termC<sub>i</sub>*). The average of all the bins in Eqs. (8) equals the corresponding  
 211 terms in Eqs. (4):

212 
$$\sum_{i=1}^{nbin} termA_i = termA$$

213 
$$\sum_{i=1}^{nbin} termC_i = termC \quad (10)$$

214 
$$\sum_{i=1}^{nbin} termD_i = \sum_{i=1}^{nbin} termE_i = 0$$

215

### 216 **3. The observed and simulated climatology of horizontal temperature advection**

217 In this section, the climatology of the total zonal and meridional temperature advection  
218 (*termA*) and its two components—pure climatology term (*termB*) and nonlinear term (*termC*)—  
219 from Eqs. (4) are compared between CESM-LE and ERA-Interim.

220 During winter, ERA-Interim indicates that the lower-level (850hPa) mean zonal  
221 temperature advection warms the air between the Rocky Mountains and the Appalachian  
222 Mountains (Fig. 1a), while mean meridional temperature advection cools it (Fig. 1b). Zonal and  
223 meridional temperature advection thus oppose each other, but the zonal component is a bit  
224 stronger, such that the total effect is a modest but widespread warming over the interior of North  
225 America (Fig. 1c). The simulated zonal, meridional, and total temperature advection climatology  
226 in CESM-LE (Fig. 1d, e, f) largely reproduces the patterns of ERA-Interim (Fig. 1a, b, c).  
227 Spatial correlations of zonal, meridional, and total advection between CESM-LE and ERA-  
228 Interim over North America are high (0.83, 0.80, and 0.77, respectively). During winter, the  
229 lower-level atmosphere is generally warmer over oceans than over land, and the majority of  
230 North America experiences westerly winds on average. Thus, mild Pacific air is carried eastward  
231 over the Rockies, where it is further warmed by compression on the lee-side, and then warms the  
232 interior of North America. In regions near the Rockies (e. g., the Mackenzie River Basin), the  
233 amount of downslope adiabatic heating is comparable to the magnitude of horizontal advection  
234 (Szeto 2008). The strong downslope winds occurring in the lee-side of the Rockies are generally  
235 a local phenomenon, which do not extend to the Plains (Brinkmann 1974). The decreased  
236 warming from the Rockies to the east also indicates a weakening adiabatic heating effect, but  
237 determining the relative contributions of adiabatic heating and land-sea temperature contrast is  
238 beyond the scope of this study. Meanwhile, since the Arctic is colder than middle latitudes, the

239 prevailing northerly winds in the CUS bring cold Arctic air southward, and therefore mean  
240 meridional temperature advection cools the area to the east of the Rockies. Both components  
241 cool the East Coast.

242 To investigate the strength of dynamic and thermodynamic terms in the “tug-of-war”, we  
243 decompose the total zonal and meridional temperature advection term ( $termA$ ) in both ERA-  
244 Interim (figures not shown) and CESM-LE into two terms: the pure climatology term ( $termB$ ,  
245 Fig. 2c, d) and the nonlinear term ( $termC$ , Fig. 2e, f), as shown in Eqs. (4). The CESM-LE can  
246 also reproduce the spatial pattern of the two components of the total zonal and meridional  
247 temperature advection, with spatial correlation 0.83 (zonal) and 0.89 (meridional) for the pure  
248 climatology terms and 0.79 (zonal) and 0.81 (meridional) for the nonlinear terms, compared with  
249 ERA-Interim. Thus, the CESM-LE output is deemed suitable to investigate the role of horizontal  
250 temperature advection in regulating wintertime climate and extreme events over North America.  
251 From this point forward, only CESM-LE results are shown.

252 The spatial pattern of mean zonal temperature advection (Fig. 2a) is dominated by the  
253 pure climatology term (Fig. 2c), with a spatial correlation of 0.87 over North America. During  
254 winter, prevailing westerly winds affect most of the North American continent (Fig. S3a), and  
255 the spatial pattern of the pure climatology term of zonal temperature advection is determined by  
256 the zonal temperature gradient (Fig. S3b). The sign of the nonlinear term is generally consistent  
257 with the total zonal temperature advection, but with a considerably smaller magnitude (Fig. 2e).

258 The spatial pattern of meridional temperature advection climatology (Fig. 2b) is also  
259 dominated by the pure climatology term (Fig. 2d), with a spatial correlation of 0.75 over North  
260 America. The pure climatology term is determined mainly by the mean meridional wind (Fig.  
261 S4a), which is southerly over the Pacific region, northerly over the central continent, and  
262 southerly to the east of the North America, corresponding to the mean ridge – trough – ridge

263 geopotential height distribution. Because the temperature distribution features cold air to the  
264 north and warm air to the south, the meridional temperature gradient is negative everywhere  
265 except near the mountain region (Fig. S4b). Therefore, the pure climatology term warms the  
266 North Pacific Ocean by transporting warm air from low latitudes and cools the North American  
267 continent by bringing cold Arctic air southward.

#### 268 **4. Future changes in horizontal temperature advection from CESM-LE**

269 The change in temperature advection ( $diffA$ ) can be represented by the change in the  
270 pure climatology term ( $diffB$ ) plus the change in the nonlinear term ( $diffC$ ). As shown in  
271 equation (5), the change in  $diffB$  can be further decomposed into a dynamic term ( $diffB1$ ),  
272 thermodynamic term ( $diffB2$ ), and higher-order term ( $diffB3$ ) to quantify the contribution of  
273 dynamic and thermodynamic changes.

274 Under global warming, the air temperature increases everywhere but not uniformly, such  
275 that air over land generally warms more than air over adjacent oceans, and high latitudes warm  
276 more than low latitudes (Fig. 3a). Therefore, the change in zonal temperature gradient is positive  
277 to the east of the Rockies (Fig. 3d), i.e. less zonal temperature contrast, while the meridional  
278 temperature gradient weakens over northern North America (Fig. 3e). The future change in zonal  
279 wind exhibits a dipole pattern, consisting of a weaker wind to the north and stronger wind to the  
280 south (Fig. 3b). Over North America east of the Rockies, the zonal wind weakens nearly  
281 everywhere (Fig. 3b). The meridional wind weakens (less northerly flow) along the east side of  
282 the Rockies and slightly strengthens (more northerly flow) or changes little in much of eastern  
283 North America (Fig. 3c).

284 In the future, zonal temperature advection decreases over land across central Canada (Fig.  
285 4a), indicating that it warms the land less compared to the historical period. Among all its  
286 components, the thermodynamic term contributes the most (Fig. 4g), indicating that the

287 advection does not warm the land as effectively as before, due to the weakened zonal  
288 temperature gradient. The dynamic term also has some contribution to the total advection change,  
289 but its main impact is limited to the west side of central Canada (Fig. 4d). That is due to the  
290 weakened westerly wind there, which transports less warm air over the continental interior (Fig.  
291 3b). Both thermodynamic and dynamic terms change in the same direction and act to cool most  
292 of central North America east of the Rockies (Fig. 4d, g), while the East Coast tends to be  
293 warmed. To illustrate which term is most important across the domain, we use Eq. (6) to  
294 compute the percentage that each term contributes to zonal and meridional advection, and color  
295 each grid point by the term that makes the largest contribution (Fig. 5). It is obvious that in the  
296 interior of North America, the thermodynamic and dynamic terms are the two most important  
297 contributors in both the zonal and meridional directions, although the nonlinear term is dominant  
298 in some places, especially for meridional advection

299         The meridional temperature advection becomes less negative over central Canada,  
300 indicating it cools the land less in the future (Fig. 4b). The thermodynamic term dominates the  
301 anomalous warming over central Canada (Fig. 4h, Fig. 5b), indicating that although the mean  
302 northerly wind still brings cold Arctic air southward in the future, Arctic air masses become  
303 warmer and thus northerly winds across central Canada transport milder Arctic air southward  
304 and cool the land less. By contrast, the dynamic term changes mainly along the east side of the  
305 Rockies (Fig. 4e), where the northerly wind weakens in the future (Fig. 3c) and brings less cold  
306 Arctic air southward. The dynamic and thermodynamic terms change in the same direction, such  
307 that both of them tend to further warm the area to the east of the Rockies in the future.

308         In summary, during the historical period, zonal temperature advection warms most of  
309 North America east of the Rockies, while meridional temperature advection cools this region by  
310 transporting cold Arctic air southward, such that the net effect is a slight warming. In the future,

311 both zonal and meridional temperature advection weaken over this region, meaning that zonal  
312 (meridional) temperature advection warms (cools) the land less. For both zonal and meridional  
313 advection changes, the thermodynamic term is generally the most important (Fig. 5), while the  
314 higher-order and nonlinear terms (Figures not shown) are generally smaller than the dynamic and  
315 thermodynamic terms. For both zonal and meridional temperature advection, the dynamic and  
316 thermodynamic terms generally change the same direction. The net change in zonal plus  
317 meridional change is only slightly negative over most of the interior of North America (Fig. 4c),  
318 indicating the changes of zonal and meridional temperature advection nearly offset each other.

319

## 320 **5. Mean horizontal temperature advection and its future changes on extreme days**

321 The role of horizontal advection and its terms on extreme winter days (5% coldest and  
322 warmest) are further investigated in this section. To avoid the confounding influence of future  
323 warming, extreme days are identified relative to their own climate, following Ayarzagüena and  
324 Screen (2016), such that both the historical and future time periods contain the same number.

325 The target study region for extreme events is the CUS (Fig. S1), due to its large  
326 temperature variability and occasional CAOs (Walsh et al. 2001, Cellitti et al. 2006, Gao et al.  
327 2015, Cohen et al. 2018). To put extreme cold and warm days into context, the T2m departures  
328 of each bin from the historical climatology are shown in Fig. 6, with temperature anomalies  
329 systematically changing from negative to positive. The cold or warm anomalies peak in the  
330 targeted CUS region and decay gradually to the surroundings. The corresponding spatial patterns  
331 for the future (2071-2100) look similar (not shown).

332 On the extreme cold days, almost the entire continental interior is enveloped by  
333 extremely cold air (Fig. 6a), and meridional temperature advection plays a vital role in cooling  
334 the CUS (Fig. 7b), while the zonal temperature advection generally warms this region (Fig. 7a).

335 These advection components on extreme cold days ( $termA_1$ , Fig. 7a-b) can be further  
336 decomposed into four terms: dynamic term ( $termD_1$ , Fig. 7c-d), thermodynamic term ( $termE_1$ ,  
337 Fig. 7e-f), nonlinear term ( $termC_1$ , Fig. 7g-h), and pure climatology term ( $termB$ , Fig. 2c-d).  
338 Since the pure climatology term is the same across all the bins, it does not help distinguish  
339 extreme cold and warm days, so we only focus on the other three terms. Among these, the  
340 meridional dynamic term (Fig. 7d) contributes the most to extreme cold over the CUS, because  
341 on these days, the atmospheric circulation is anomalously wavy and thus the meridional wind  
342 strengthens over the CUS (Fig. 9). The stronger northerly wind brings Arctic air masses as far  
343 south as the Gulf of Mexico, such that the dynamic term cools a large region from central  
344 Canada to southeastern North America (Fig. 7d). Meanwhile, the weakened westerly wind on  
345 extreme cold days (Figure not shown) brings less warm air eastward, causing the zonal dynamic  
346 term to also cool the area but much less strongly (Fig. 7c). The second most important cooling  
347 influence is from the meridional nonlinear term, especially over the southeast U. S. (Fig. 7h), due  
348 to the combination of a strengthened northerly wind and an enhanced meridional temperature  
349 gradient over the southeast US when a polar air mass is directly upwind.

350 On extremely warm winter days in the CUS during the historical period, very warm air  
351 covers the eastern two-thirds of the continent (Fig. 6t). In contrast to extreme cold days, when  
352 zonal and meridional temperature advection oppose each other, these two advection components  
353 work together to generally warm the CUS on the warmest days (Fig. 8a, b). The meridional  
354 component contributes most, while the zonal component mainly stems from the pure climatology  
355 term (Fig. 2c), which is the same across all bins. Consistent with the extreme cold days, the  
356 meridional dynamic term ( $termD_{20}$ , Fig. 8d) is the most important on extremely warm days, due  
357 to a strong southerly wind transporting warm air from Gulf of Mexico (Fig. 9e).

358           In the future simulation, the coldest days are not as bitter as in the recent climate (Fig.  
359 10a, d), even relative to the warmer mean climate, with one warming center over Hudson Bay  
360 and one to the southwest of the Great Lakes (Fig. 10g). On extreme cold days, northerly winds  
361 bring Arctic air all the way to the Gulf of Mexico (Fig. 10c, f), and this flow becomes even  
362 stronger in the future (Fig. 10i). Therefore, the meridional dynamic term cools the area even  
363 more, suggesting even more severe CAOs, despite the actual moderation of extreme cold. This  
364 discrepancy indicates that there are other mechanisms operating. One possibility is the impact of  
365 future reductions in snow cover and sea ice on the atmosphere (Vavrus 2007, Gao et al. 2015).  
366 The projected snow cover fraction significantly decreases on extreme cold days over mid-latitude  
367 North America as the snow margin retreats northward (Fig. 12). The much lower albedo and  
368 lower insulation capacity of bare land versus snow cover helps the land surface warm more in the  
369 future, consistent with the weakened troughing anomaly over interior North America on the  
370 coldest days (Fig. 10h). Likewise, the shrinking sea ice cover in Hudson Bay (Hochheim and  
371 Barber 2014) corresponds to the warming center directly above it (Figures 10g, 12). On the  
372 warmest days, by contrast, neither the temperature anomalies nor the atmosphere circulation  
373 changes as much in the future as on the coldest days over the CUS (Fig. 11g-i).

374           In summary, on extreme cold and warm days in the CUS, the meridional dynamic term  
375 ( $termD_i$ ) is the most important (Fig. 7d, 8d). In the future, air temperature anomalies on the  
376 coldest days change more (weaken) than they do on the warmest days (Fig. 10g, 11g). Another  
377 “tug-of-war” therefore appears to exist on extreme cold days in the future between enhanced  
378 dynamic advection aloft favoring more cooling and surface-based thermal forcing from reduced  
379 snow and sea ice cover favoring warmer Arctic airmasses that result in less severe cooling in  
380 CUS.

381

## 382 6. Discussion and Conclusions

383 This study builds on previous work investigating future changes during wintertime over  
384 North America and the associated influence of Arctic Amplification on mean and extreme  
385 conditions. Our analysis of thermal advection over the continent east of the Rockies enables a  
386 more quantitative assessment of the synoptic-scale physical processes than many previous  
387 studies on this topic. We have identified several findings that enrich our understanding of North  
388 American winter climate in the present and future, including multiple competing mechanisms  
389 and the importance of zonal temperature advection.

390

391 \* CESM realistically simulates the patterns and magnitudes of both zonal and meridional  
392 temperature advection during winter in the contemporary climate (Fig. 1). The total thermal  
393 advection in both directions is dictated mainly by the “pure climatology term” (i. e., advection by  
394 the mean wind across the climatological temperature gradient) (Fig. 2).

395

396 \* Many papers have emphasized the tug-of-war on future mid-latitude circulation involving  
397 the tropics versus polar regions. Our study quantifies competing influences on changing  
398 temperatures involving meridional versus zonal thermal advection. On average, zonal advection  
399 warms the land in the CUS more than meridional advection cools this region in the present-day  
400 (and future) climate (Fig. 2a, 2b). The projected weakening of both terms suggests that the  
401 future mean winter climate over central North America will be impacted not only by the well-  
402 known upstream warming influence from AA but also by the less-recognized and opposing  
403 reduction in zonal heat transport from air masses originating over the Pacific Ocean and  
404 adiabatically warmed by the Rockies (Fig. 4a, 4b). In fact, over most of interior North America,  
405 the simulated future cooling influence from weakened zonal advection slightly exceeds the

406 warming effect caused by a reduction in meridional cold-air advection (Fig. 4c). The changes in  
407 both types of advection are primarily caused by a slackened horizontal temperature gradient (Fig.  
408 3d, 3e, 4g, 4h) and secondarily by a weaker wind speed (Fig. 3b, 3c, 4d, 4e).

409

410 \* Our study also addresses another possible influence on future mean temperature changes:  
411 the competition between upstream warming of Arctic air masses versus a more meridional  
412 circulation hypothesized to accompany AA, which could enhance the climatological northerly or  
413 southerly winds aloft over most of eastern North America. Interestingly, CESM does not  
414 produce stronger northerly flow in the *mean* future climate over most of the continent and, in fact,  
415 simulates a southerly wind anomaly in an elongated swath to the east of the Rocky Mountains  
416 (Fig. 3c). As a consequence, the total mean meridional advection change is dominated by  
417 upstream Arctic warming and is strongly positive across most of Canada and much of the  
418 northern U. S. and Appalachians, while generally being weakly negative over the southern U. S  
419 (Fig. 4b).

420

421 \* On extreme winter days over the CUS, the role of thermal advection differs somewhat from  
422 the average conditions described above and also differs between very cold and very warm days.  
423 During extreme cold events affecting the midsection of the U. S., meridional cold-air advection  
424 dominates most of the continent and reaches far southward to the Gulf of Mexico (Fig. 7b), while  
425 zonal warm-air advection covers much of the interior of North America (Fig. 7a). Unlike the  
426 general changes described above, the enhanced cold-air advection on very cold days is driven  
427 primarily by the meridional dynamic term (stronger northerly winds) (Fig. 7d) and secondarily  
428 by the non-linear term (Fig. 7h), which is largely responsible for the far southern extent of the  
429 negative temperature advection anomalies. The non-linear term is also important for zonal

430 thermal advection, which serves as a substantial mitigating influence by warming the U. S.  
431 midsection on extremely cold days (Fig. 7g). By contrast, on extremely warm winter days, both  
432 zonal and meridional components produce warm-air advection over most of the U. S. midsection  
433 (Fig. 8a, b), primarily due to the heating effect of southerly winds (Fig. 8d) that are partially  
434 offset by cooling from the non-linear term (Fig. 8h).

435

436 \* Extreme cold in the future over the CUS is projected to become less severe than in the  
437 recent climate, even relative to the higher mean future temperature (Fig. 10g), despite a stronger  
438 northerly flow on the coldest days (Fig. 10i) that generates greater cold-air advection. A likely  
439 explanation for this paradox is the reduction in snow cover in the future (Fig. 12) accompanying  
440 AA, which counters the enhanced advective cooling and appears to weaken the anomalous  
441 trough in eastern North America that is representative of the extreme cold (Fig. 10h). This  
442 interplay constitutes yet another tug-of-war involving dynamical changes that favor even colder  
443 conditions during future cold-air outbreaks versus surface-based thermodynamic changes that are  
444 responsible for less extreme cold. On the warmest winter days in the future, when snow cover  
445 changes play less of a role, the relative (to each 30-year period) temperature anomalies do not  
446 differ much from those in the recent climate, and the circulation differences are also less  
447 pronounced than on the coldest days (Figure 11). The impact on future CAOs from dynamical  
448 changes related to thermal advection versus surface-based changes, such as snow cover and sea  
449 ice, is a topic ripe for further research.

450

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455

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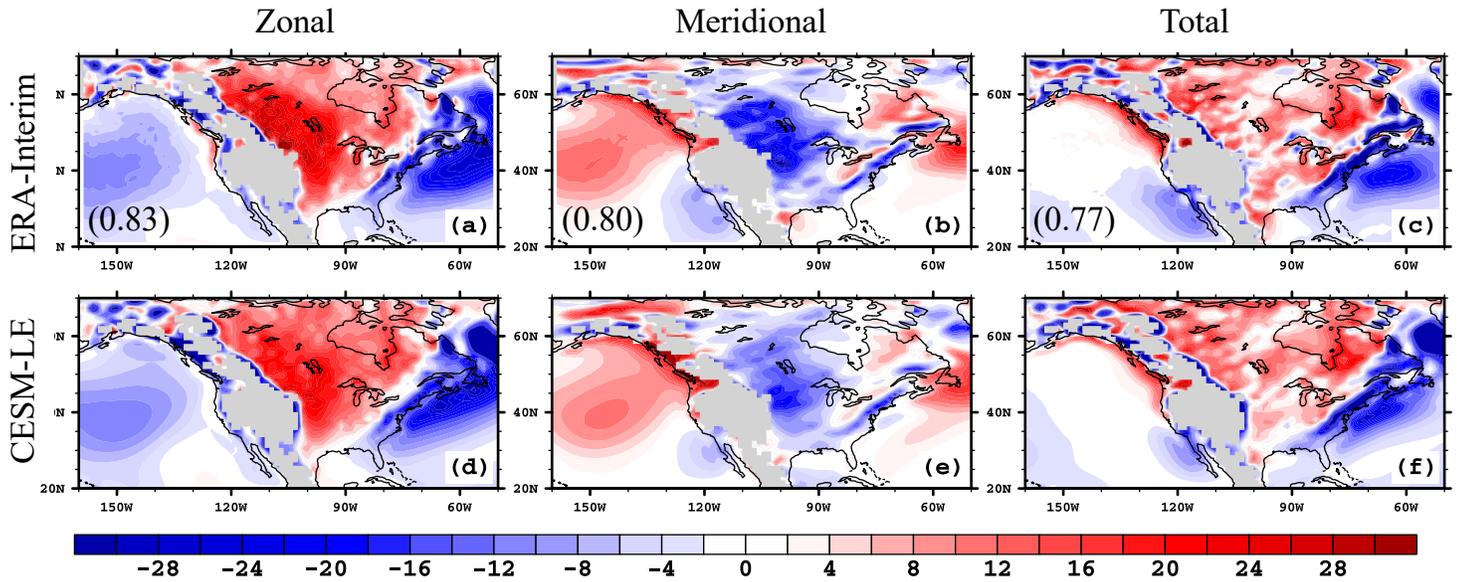
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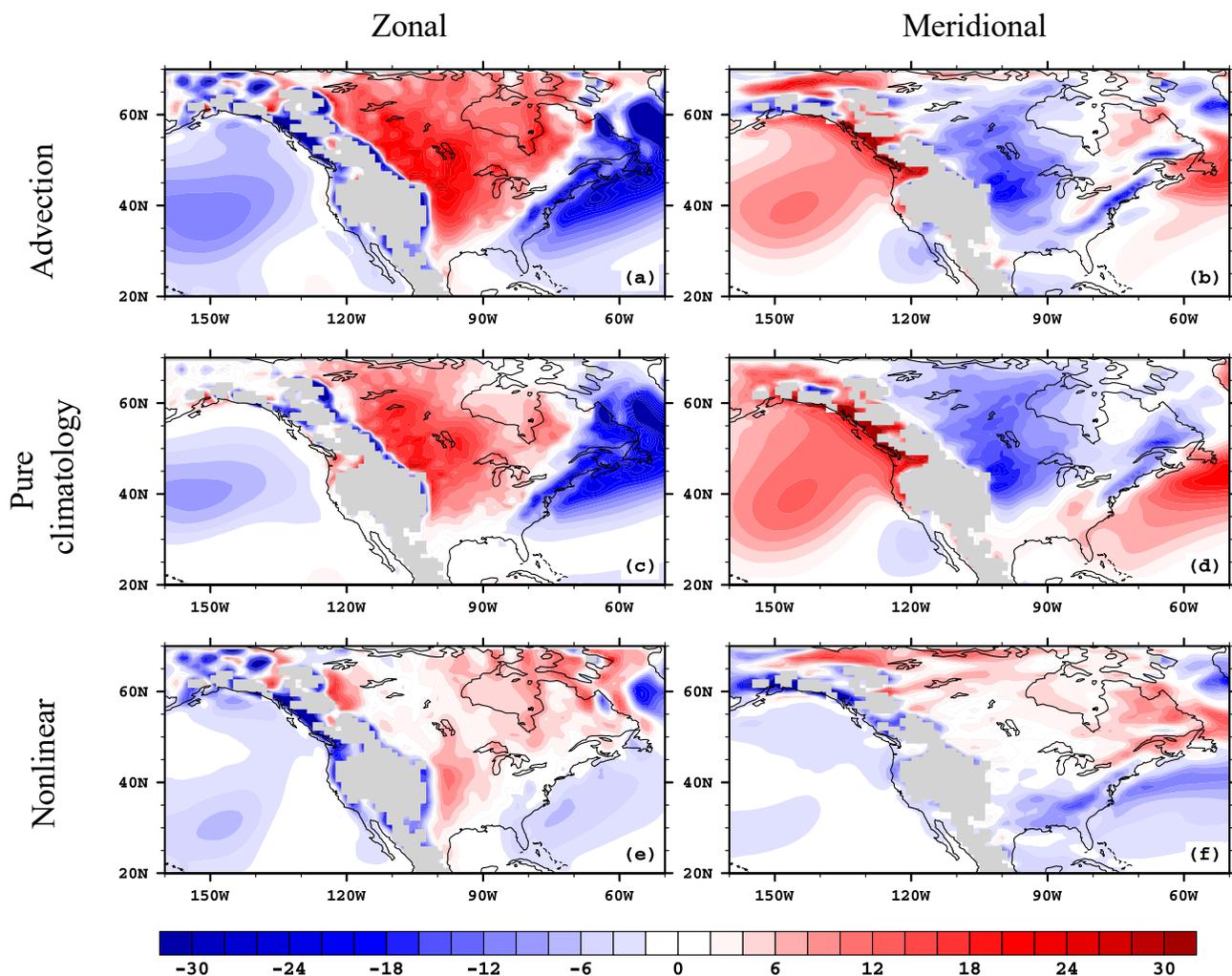
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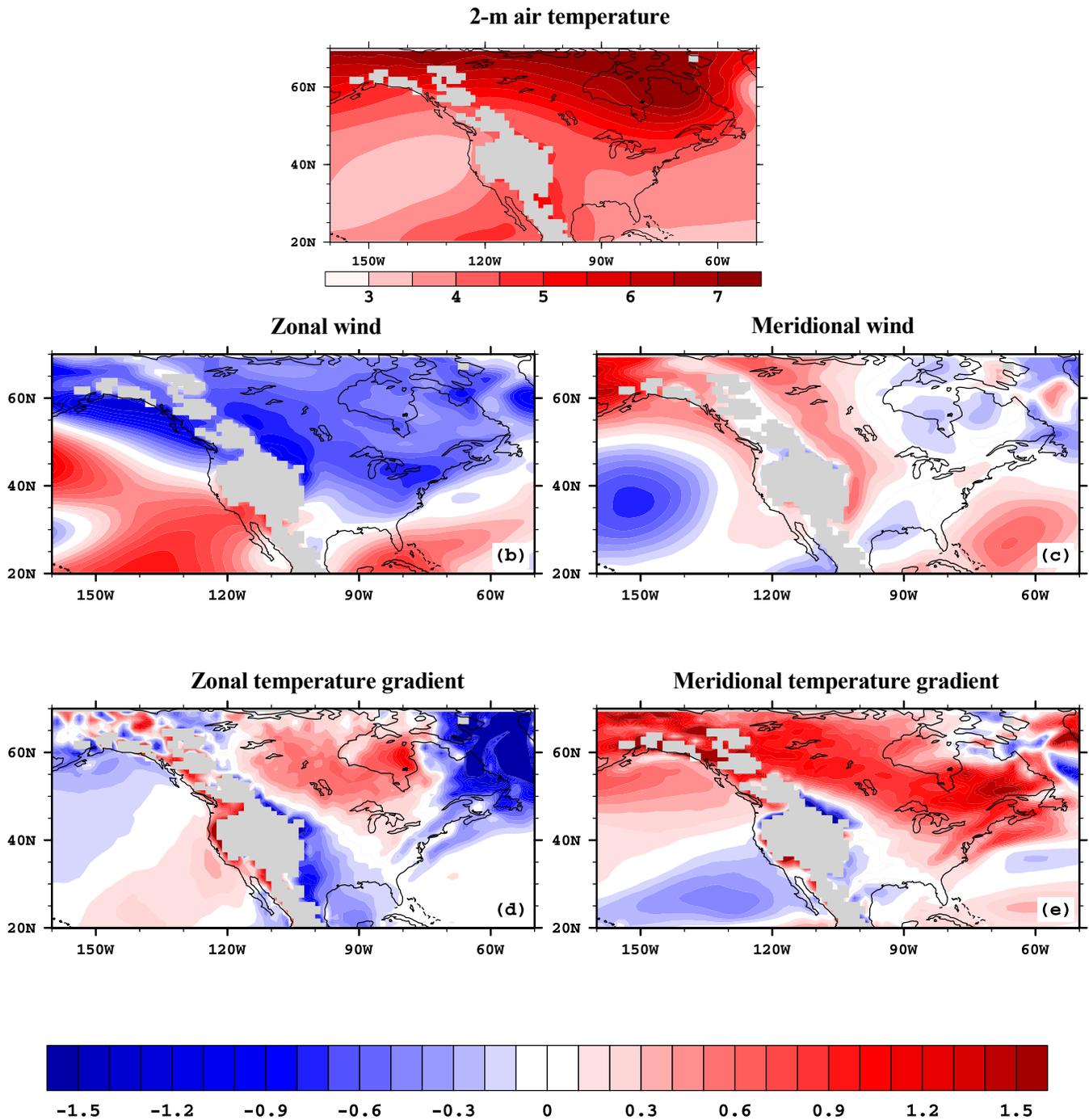
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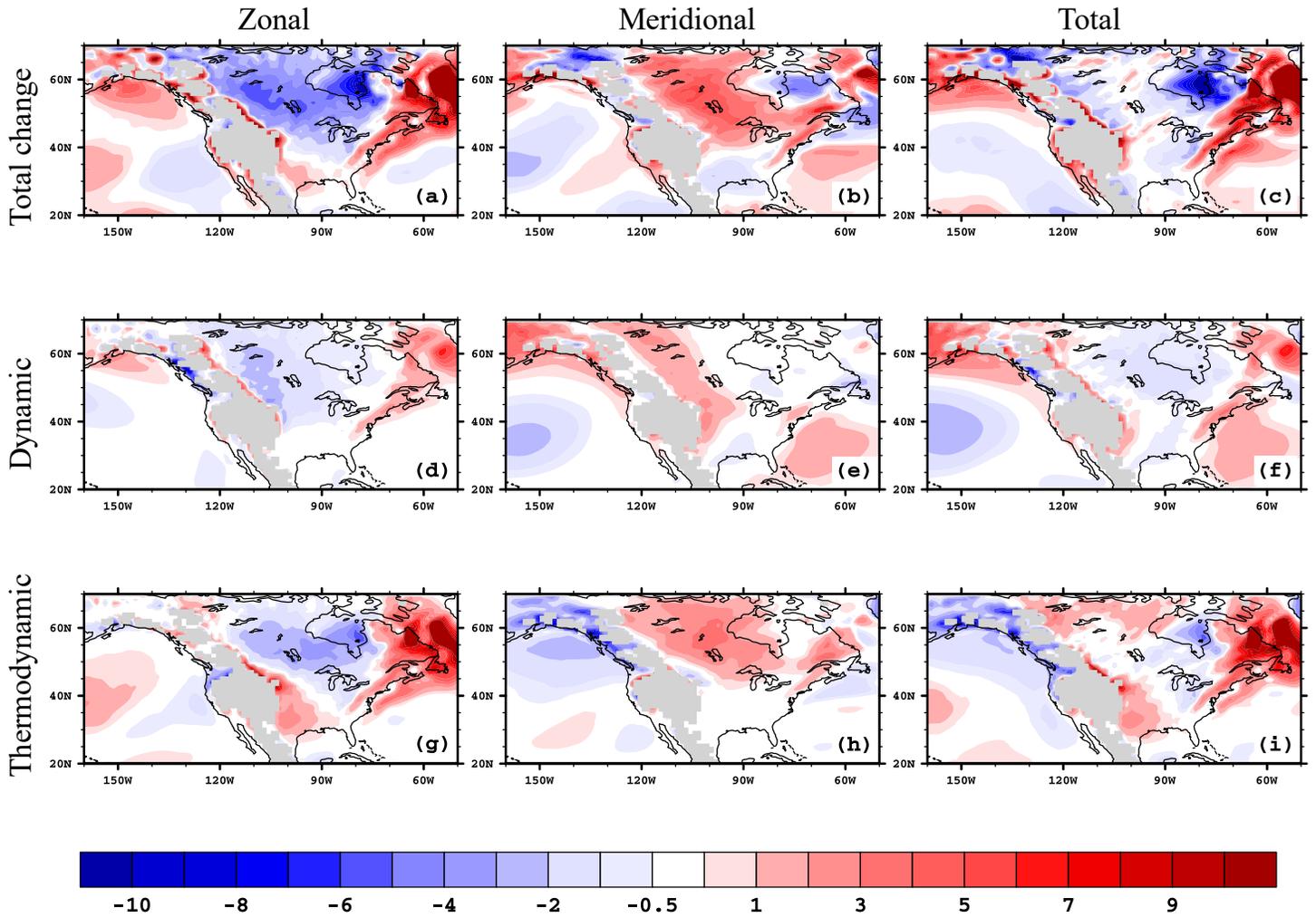
**Fig. 1.** Climatology of wintertime (DJF) zonal (a, d), meridional (b, e), and total (zonal + meridional, c, f) horizontal temperature advection (units: K/year) at 850 hPa using daily outputs of ERA-Interim (a, b, c) and CESM-LE historical experiment (d, e, f) during 1979 – 2016. The numbers on (a), (b), and (c) indicate the spatial correlation of zonal, meridional, and total horizontal temperature advection between ERA-Interim and CESM-LE over North America, respectively. Area higher than 1500m is masked.



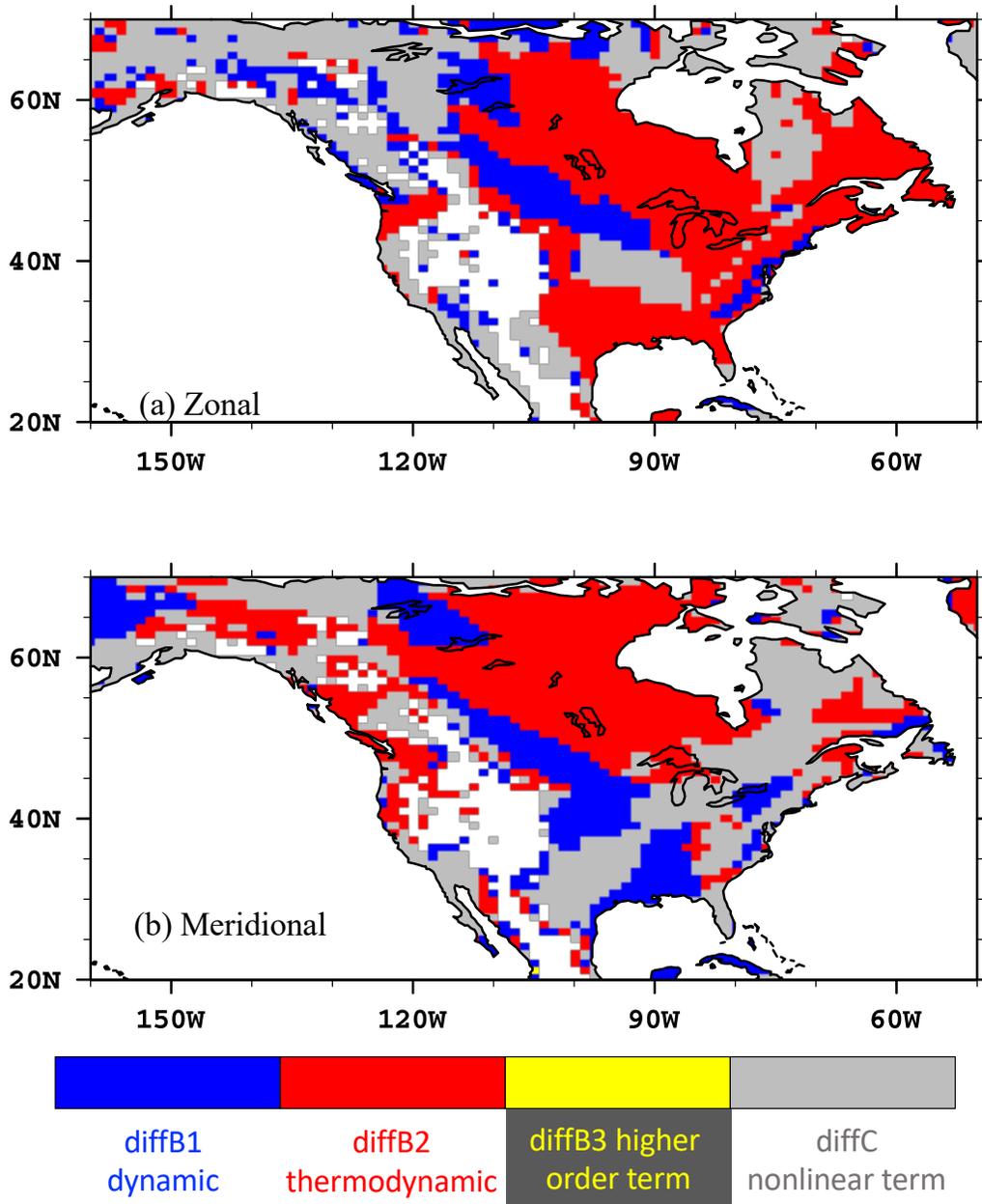
**Fig. 2.** Climatology of wintertime (DJF) total zonal and meridional temperature advection (a, b; termA in equation set 4) and their two components: the pure climatology term (c, d; termB in equation set 4) and nonlinear term (e, f; termC in equation set 4) in CESM-LE (unit: K/year) during the late 20<sup>th</sup> century (1971-2000). Area higher than 1500m is masked.



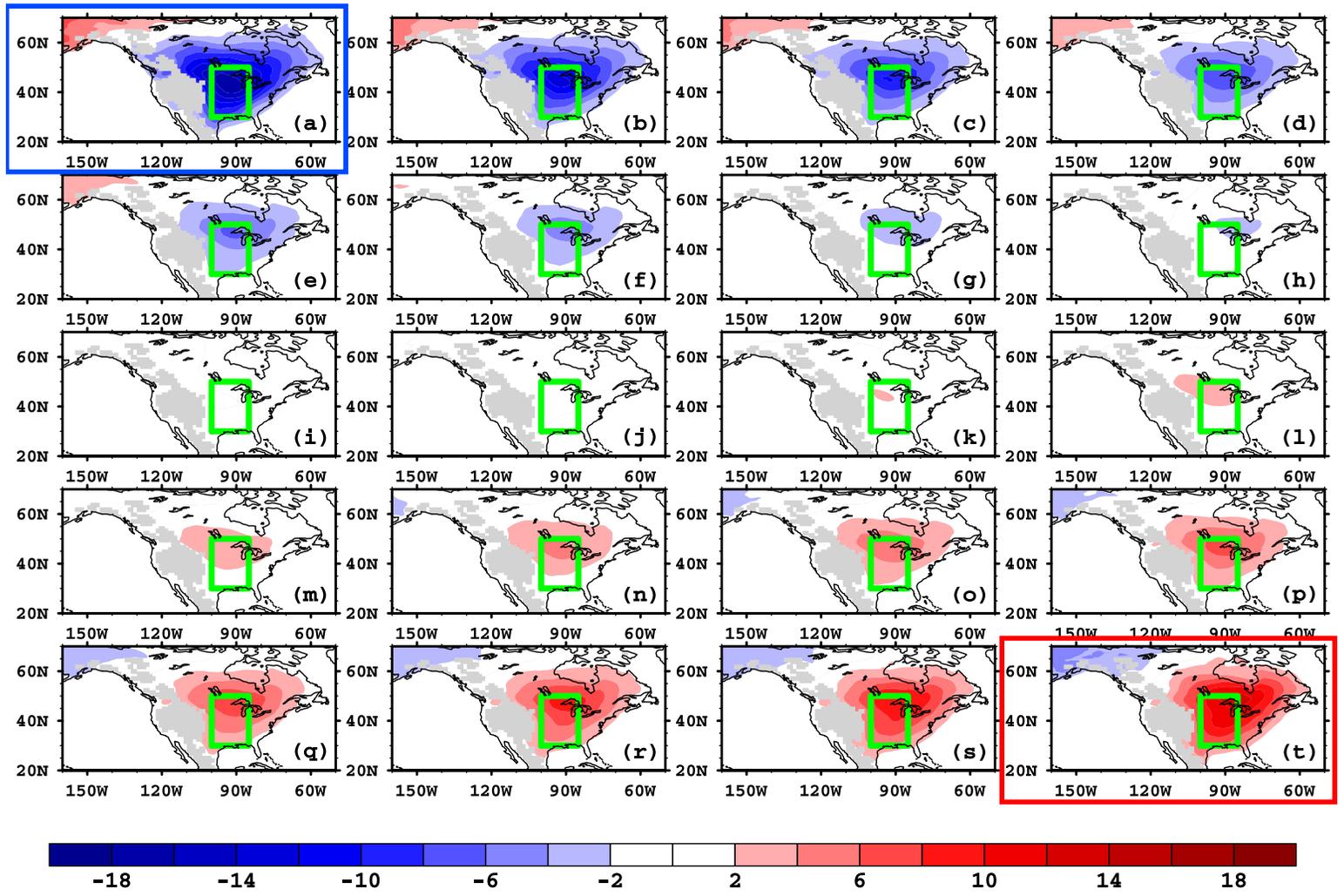
**Fig. 3.** Future changes (2071 – 2100 vs 1971 – 2000) in 850hPa (a) air temperature ( $^{\circ}\text{C}$ ), (b) zonal wind (m/s), (c) meridional wind (m/s), (d) zonal temperature gradient ( $3 \cdot 10^7 \text{K km}^{-1}$ ), and (e) meridional temperature gradient ( $3 \cdot 10^7 \text{K km}^{-1}$ ). Area higher than 1500m is masked.



**Fig. 4.** Future changes (2071 – 2100 vs 1971 – 2000) in 850hPa horizontal temperature advection and its components in CESM-LE (unit: K/year). Total change ( $diffA$ ), dynamic term ( $diffB1$ ), and thermodynamic ( $diffB2$ ) are shown in (a-c), (d-f), and (g-i), respectively. The zonal, meridional and total advection are shown in (a,d, g), (b, e, h), and (c, f, i), respectively. Area higher than 1500m is masked.

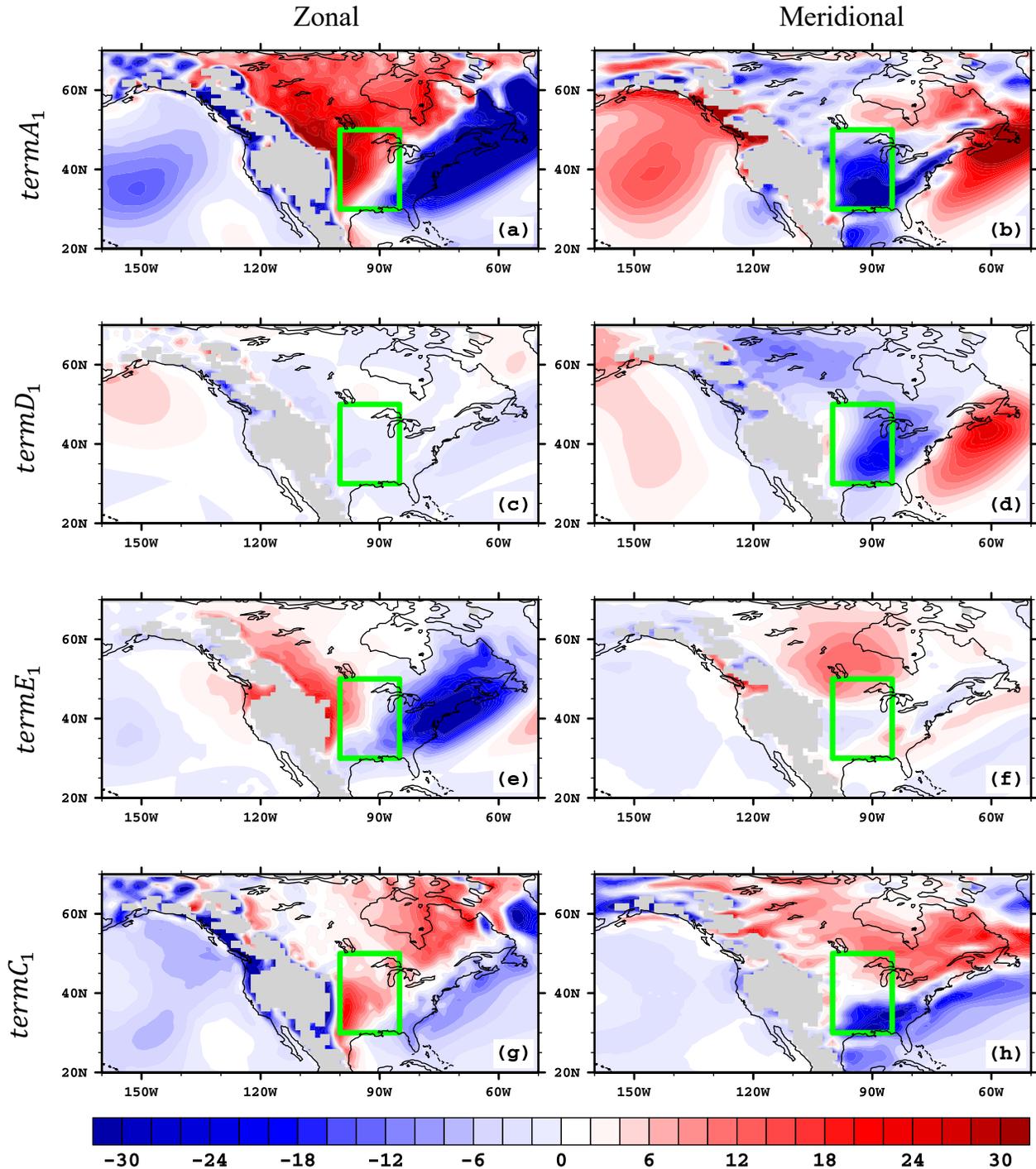


**Fig. 5.** The most important term for future changes in (a) zonal and (b) meridional temperature advection at each grid point. Area higher than 1500m is masked.



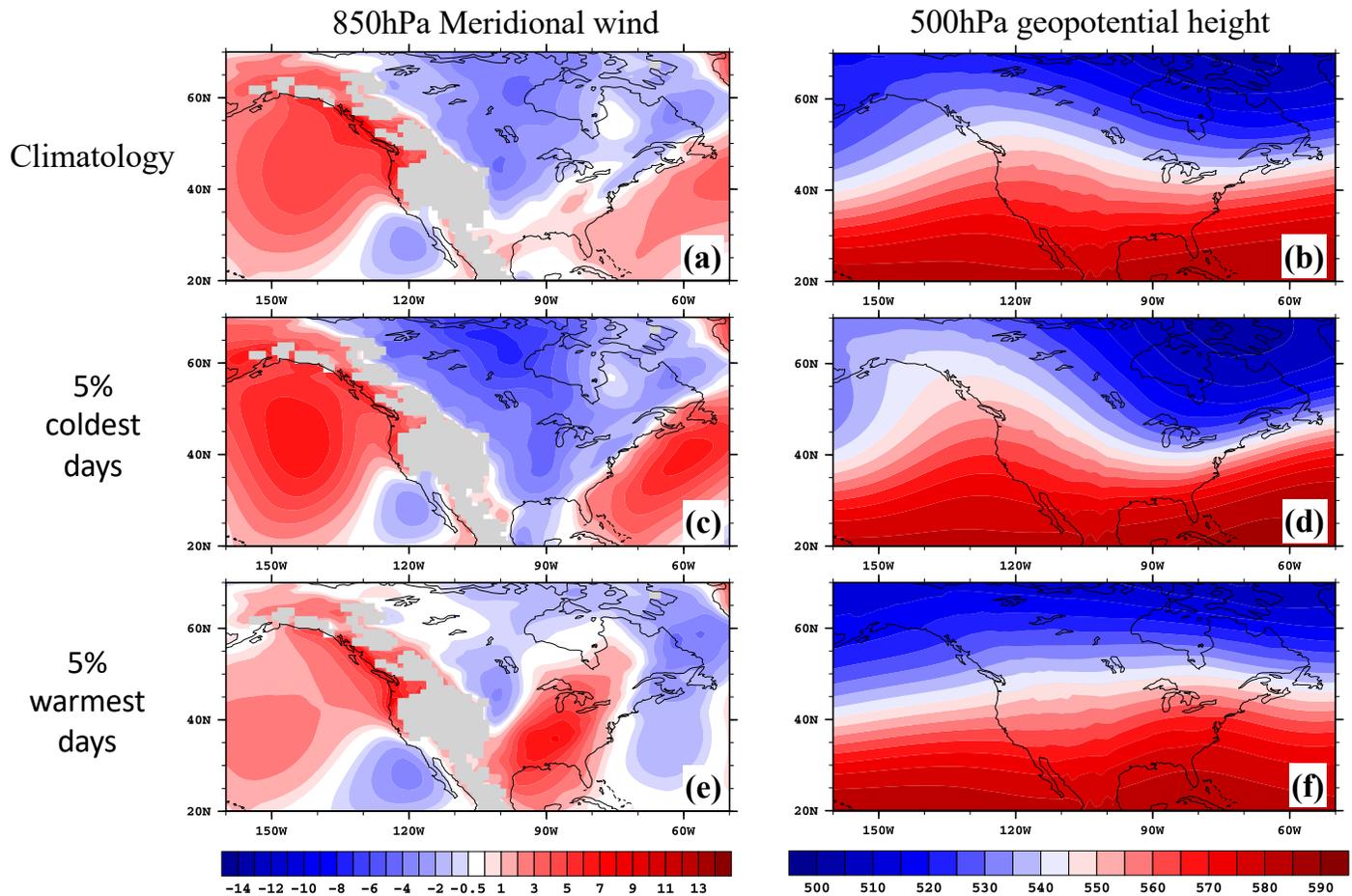
**Fig. 6.** Wintertime 2-m air temperature anomalies (K) simulated by CESM-LE in the late 20<sup>th</sup> century (1971-2000) among 20 bins area-averaged over the central U. S. (green box), ranging from the 5<sup>th</sup> percentile (upper left) to the 95<sup>th</sup> percentile (lower right). Area higher than 1500m is masked. The extreme cold (blue box) and warm days (red box) are highlighted.

## 5% coldest days



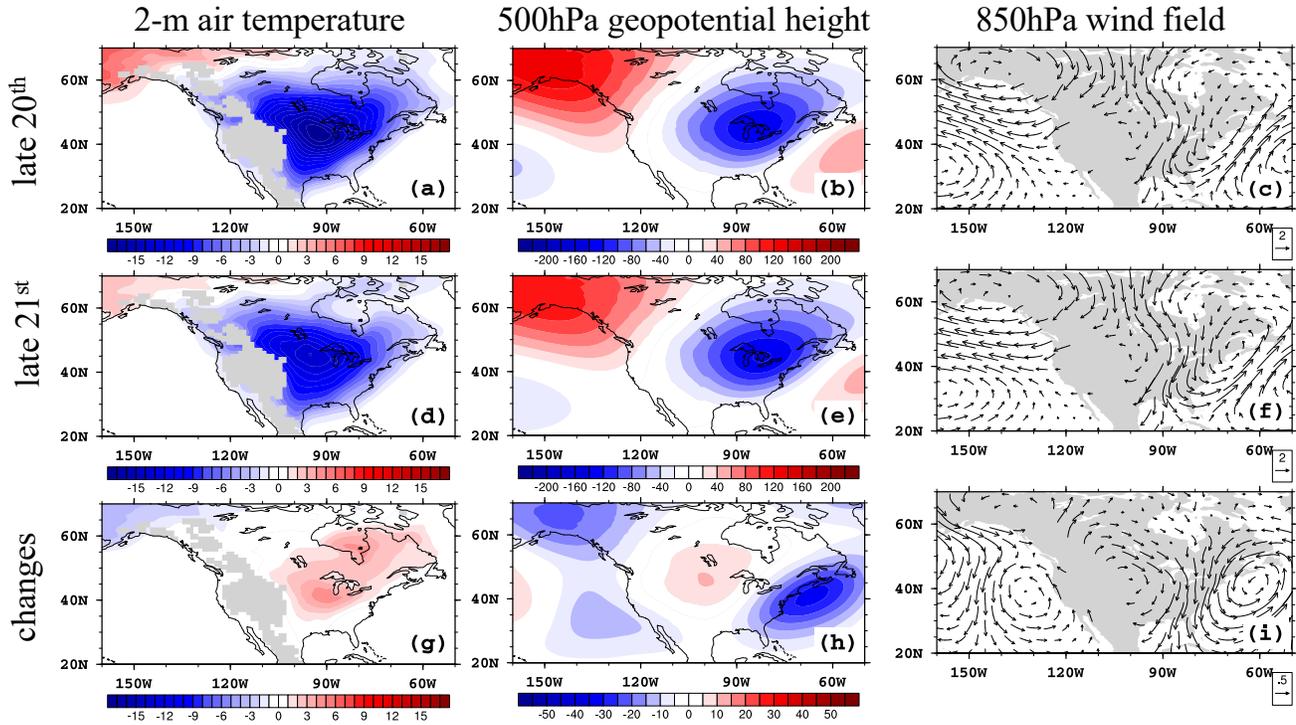
**Fig. 7.** Temperature advection and its components on extreme CUS wintertime cold days during late 20<sup>th</sup> century (1971-2000) in CESM-LE. (unit: K/year). Zonal (a, c, e, g) and meridional (b, d, f, h) temperature advection (a-b,  $termA_1$  in equation 3) and their components: dynamic term (c-d,  $termD_1$  in equation 3), thermodynamic term (e-f,  $termE_1$  in equation 3), and nonlinear term (g-h,  $termC_1$  in equation 3). Area higher than 1500m is masked.





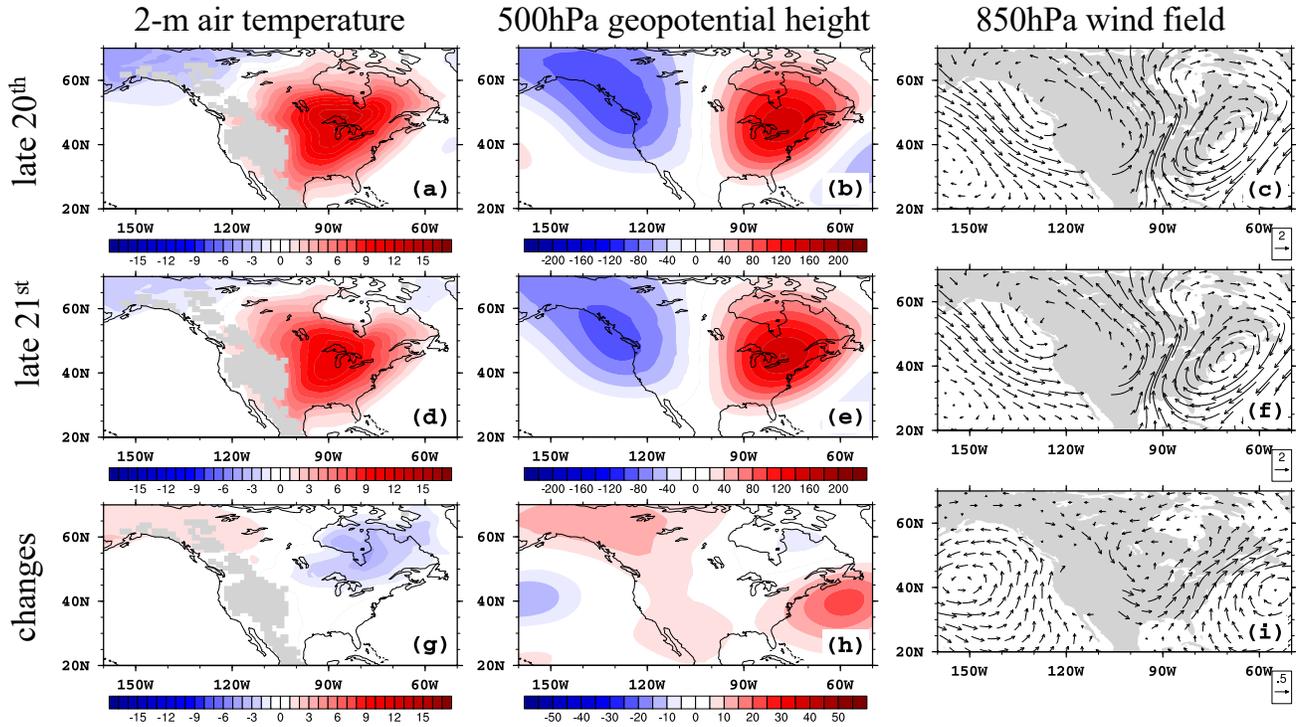
**Fig. 9.** Spatial pattern of 850hPa meridional wind (a, c, e) and 500hPa geopotential height (b, d, f) of climatology (a, b), 5% coldest days (c, d), and 5% warmest days (e, f) during the late 20<sup>th</sup> century (1971-2000) in CESM-LE. Area higher than 1500m is masked in 850hPa Meridional wind field.

## 5% coldest days

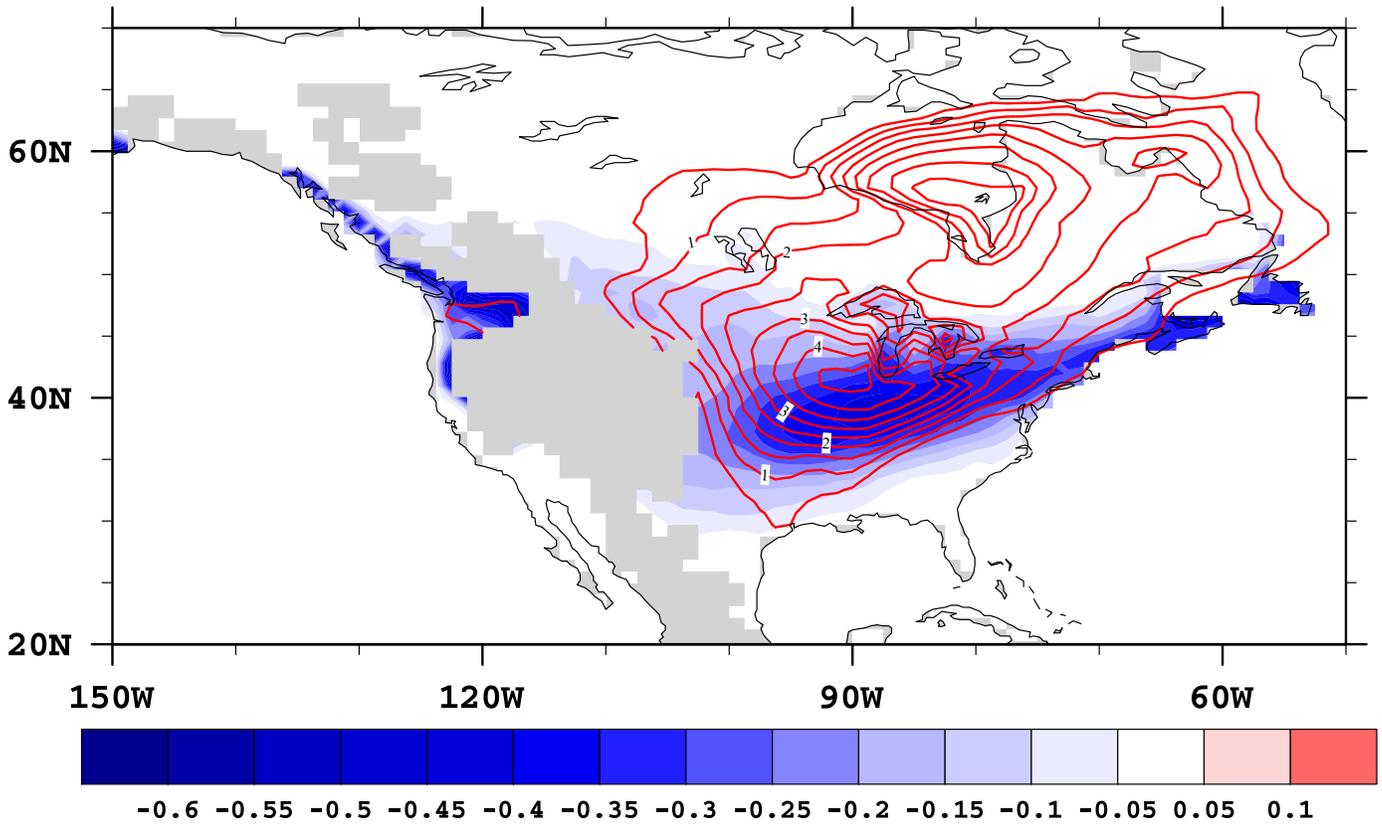


**Fig.10** Anomalous 2-m air temperature (a, d, g), 500hPa geopotential height (b, e, h), and 850hPa wind field (c, f, i) during the late 20<sup>th</sup> century (a, b, c) and late 21<sup>st</sup> century (d, e, f) and their future changes (g, h, i) on the coldest 5% of winter days in CESM-LE. Area higher than 1500m is masked .

# 5% warmest days



**Fig.11** Same as Fig. 10 but for the 5% warmest days.



**Fig. 12** Change in snow cover fraction (shading) and 2-m air temperature anomaly (contour, interval is 0.5K) between the future and historical periods on extreme cold days. Area higher than 1500m is masked.

# Supplemental Materials

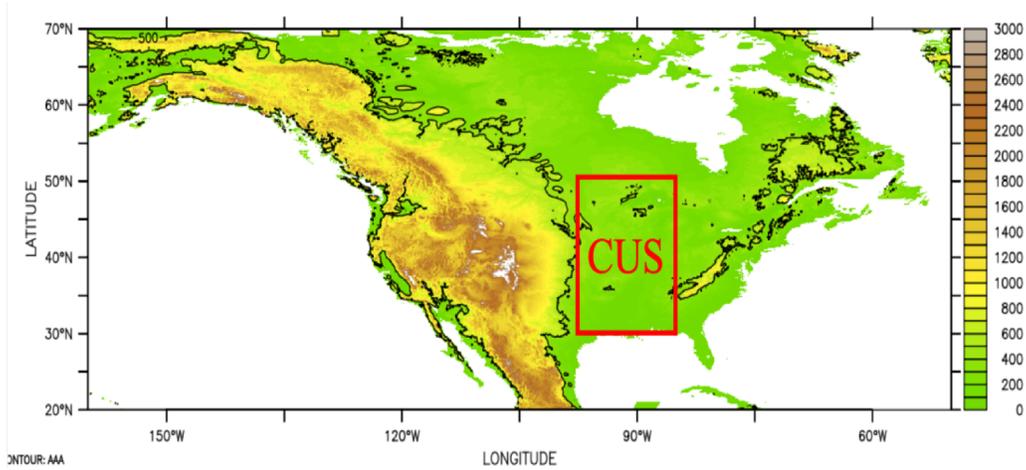


Fig. S1. Topography in North America (m). The black contour indicates the 1500m elevation.

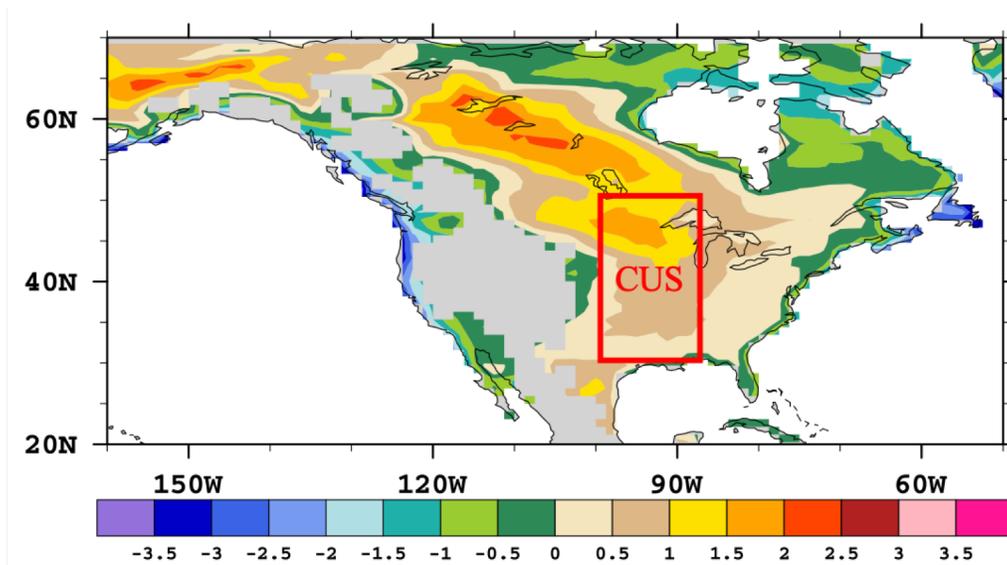


Fig. S2. The anomaly of the standard deviation of 2-m air temperature (K) from its zonal mean.

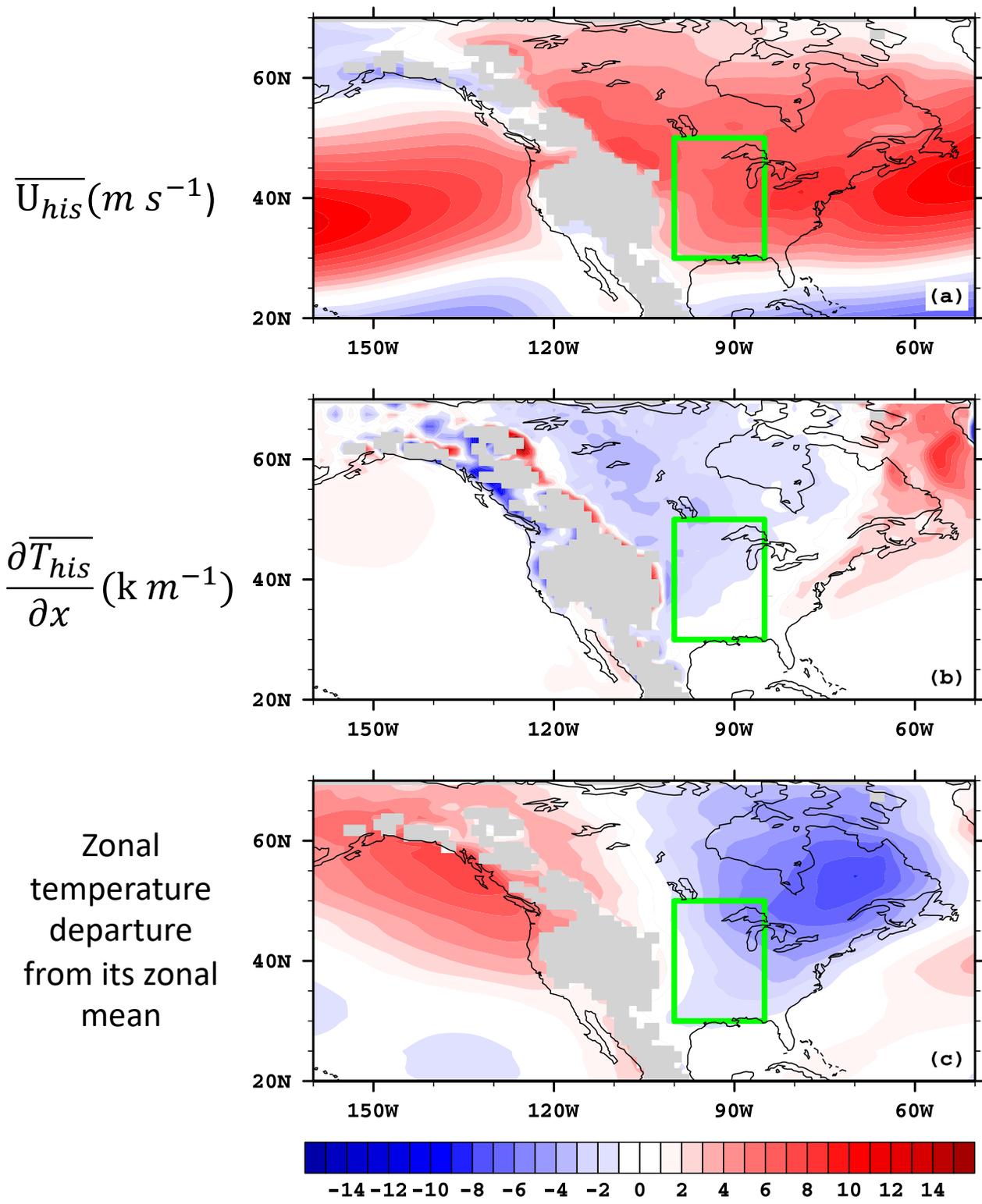


Fig. S3. The climatological zonal wind (a), the zonal temperature gradient (b), and the zonal temperature departure from its zonal mean (c).

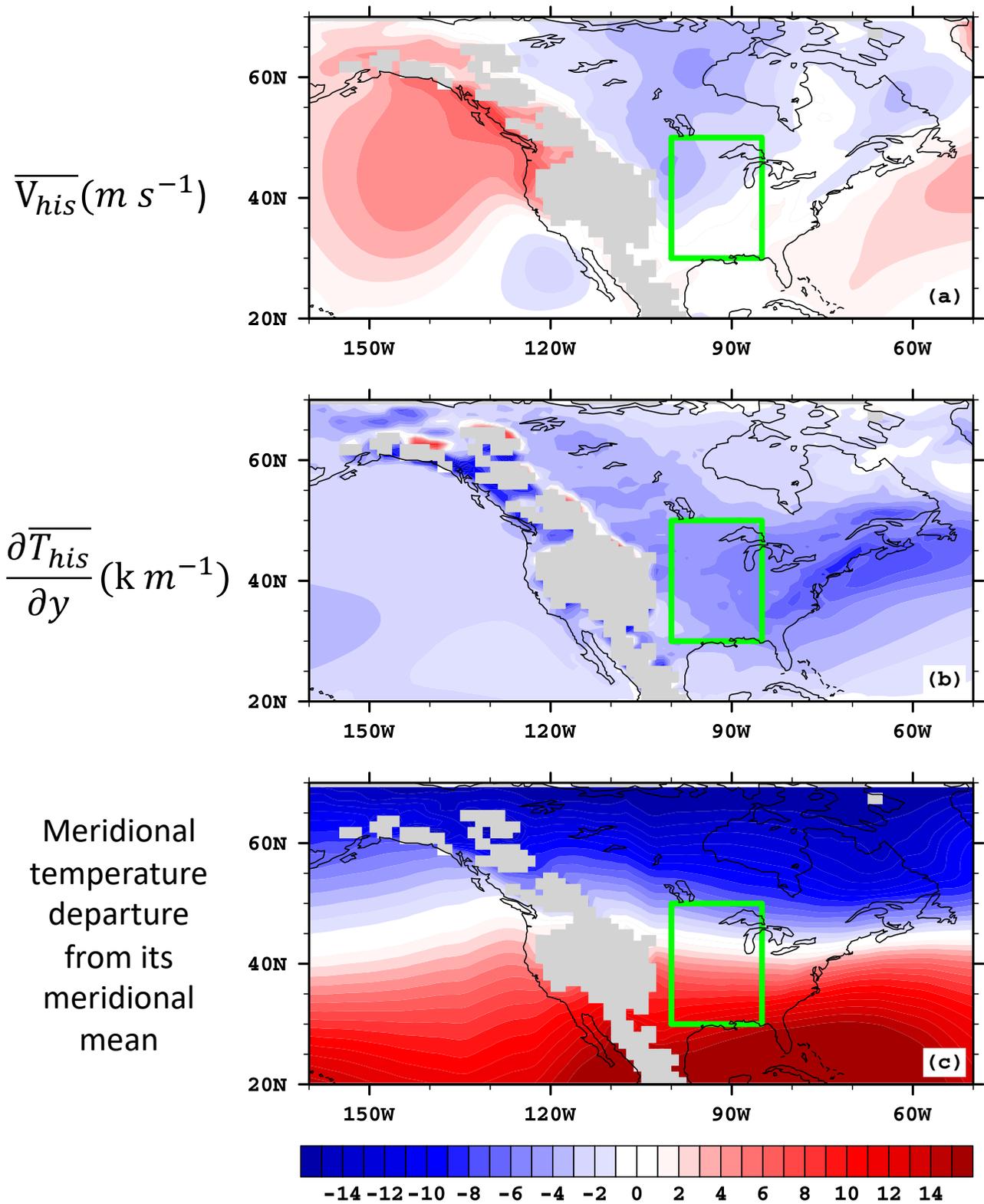


Fig. S4. The climatological meridional wind (a), the meridional temperature gradient (b), and the meridional temperature departure from its meridional mean (c).