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**CONTRACTION OF THE NORTHERN HEMISPHERE, LOWER  
TROPOSPHERIC, WINTERTIME COLD POOL OVER THE PAST 66 YEARS**

*by*

JONATHAN E. MARTIN

*Department of Atmospheric and Oceanic Sciences  
University of Wisconsin-Madison  
Madison, WI 53705  
[jemartil@wisc.edu](mailto:jemartil@wisc.edu)  
(608) 262-9845*

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*ABSTRACT*

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Employing reanalysis data sets, several threshold temperatures at 850 hPa are used to measure the wintertime (DJF) areal extent of the lower tropospheric, Northern Hemisphere cold air pool over the past 66 cold seasons. The analysis indicates a systematic contraction of the cold pool at each of the threshold temperatures. Special emphasis is placed on analysis of the trends in the extent of the -5°C air.

Composite differences in lower tropospheric temperature, middle tropospheric geopotential height and tropopause-level jet anomalies between the 5 coldest and 5 warmest years are considered. Cold years are characterized by an equatorward expansion of the jet in the Pacific and Atlantic sectors of the hemisphere and by invigorated cold air production in high latitude Eurasia and North America. Systematic poleward encroachment of the -5°C isotherm in the exit regions of the storm tracks accounts for nearly 50% of the observed contraction of the hemispheric wintertime cold pool since 1948. It is suggested that this trend is linked to displacement of the storm tracks associated with global warming.

Correlation analyses suggest that the interannual variability of the areal extent of the 850 hPa cold pool is unrelated to variations in hemispheric snow cover, the Arctic Oscillation, or the phase and intensity of ENSO. A modest statistical connection with the East Asian Winter Monsoon, however, does appear to exist. Importantly, there is no evidence that a resurgent trend in cold Northern Hemisphere winters is ongoing. In fact, the winter of 2013-14, though desperately cold in North America, was the warmest ever observed in the 66-year time series.

61 **1. Introduction**

62 A large variety of in-situ and remote measurements point toward a general  
63 warming of the planet over the past century and a half (IPCC 2013). Analysis of surface  
64 temperature data (e.g. Hansen et al. 2001, Lugina et al. 2005, Smith and Reynolds 2005,  
65 Smith et al. 2005, and Brohan et al. 2006, Hansen et al. 2010), various measures of the  
66 extent and age of arctic sea ice (e.g. Serreze et al. 2007), decreased snow cover in many  
67 Northern Hemisphere locations (Brown 2000), as well as the length of ice duration on  
68 lakes and rivers across the Northern Hemisphere (Magnusson et al. 2000) are among the  
69 various pieces of evidence that testify to this warming.

70 Recent advances in the analysis of historic radiosonde and satellite data as  
71 manifest in modern reanalysis data sets have revealed a concurrent warming of the lower  
72 troposphere (Karl et al. 2006). Although upper air data sets have been subjected to less  
73 scrutiny than surface data sets and adjustments to the raw data are complicated and  
74 dependent upon expert judgment, it is considered very likely that these estimates give  
75 reliable indications of the direction of lower tropospheric temperature change over the  
76 last half-century. Nonetheless, free tropospheric temperature measurements are still  
77 considered among the least confident metrics of climate change (IPCC 2013).

78 Despite this varied and increasingly refined evidence, the relatively small amount  
79 of uncertainty that remains is apparently sufficient to maintain public skepticism  
80 regarding global warming at a disproportionately high level (Scruggs and Benegal 2012).  
81 In this paper we introduce a novel analysis of lower tropospheric wintertime temperature  
82 trends by employing a number of long-term, 4-times daily reanalysis data sets in order to  
83 compute the area, at middle and high latitudes, covered by air colder than a series of

84 threshold temperatures at 850 hPa. Calculation of the hemispheric area of what we term  
85 the *850 hPa cold pool* eliminates regional bias from the analysis of long term trends in  
86 lower tropospheric temperature and better testifies to the intensity of the cold season over  
87 the entire hemisphere and the variation of that intensity from year to year. Additionally,  
88 the modest to substantial averaging employed to generate elements of the foregoing  
89 analysis may mitigate some of the uncertainties inherent in the reanalysis products  
90 themselves. The cold pool area is extremely simple to calculate and analysis of the  
91 results reveals a number of trends consistent with a gradual warming of the troposphere  
92 over the last 66 years. The present paper considers aspects of both the long term,  
93 seasonally averaged trend in the areal extent of the 850 hPa cold pool as well as its  
94 interannual variability.

95         The paper is organized in several sections. Section 2 provides a description of the  
96 methodology used in calculating the cold pool area as well as a description of the  
97 reanalysis data sets employed in the analysis. In Section 3, aspects of the long-term trend  
98 and interannual variability of this measure of winter severity are considered. Included  
99 here is an analysis of the geographic distribution of the variability of the areal extent of  
100 the 850 hPa cold pool as well as an analysis of differences in the composite large-scale  
101 thermodynamic and kinematic structures exhibited by the coldest and warmest years.  
102 Finally, examination of the temperature distribution and first order characteristics of  
103 extreme events are considered in Section 4. A summary and conclusions, including  
104 suggestions for future work, are offered in Section 5.

## 105         **1. Data and Methodology**

106 In order to demonstrate the robustness of the results, the study employs three  
107 distinct reanalysis data sets; the National Center for Environmental Prediction/National  
108 Center for Atmospheric Research (NCEP/NCAR) Reanalysis, the ERA-40 Reanalysis,  
109 and the NCEP Climate Forecast System Reanalysis (CFSR). Both the NCEP/NCAR  
110 reanalysis (Kalnay et al. 1996) and the ERA-40 reanalysis (Uppala et al. 2005) employ  
111 relatively large grid spacing ( $2.5^\circ \times 2.5^\circ$ ). The NCEP/NCAR reanalysis derives from a  
112 frozen state-of-the-art global assimilation system in conjunction with a database that  
113 includes in-situ and remotely sensed data (when available) both at the surface and at  
114 levels through the troposphere and stratosphere. The present study employs data  
115 spanning the period 1 January 1948 – 28 February 2014. The ERA-40 is a reanalysis of a  
116 variety of in-situ and remote meteorological observations spanning the period 1  
117 September 1957 – 31 August 2002. NCEP’s CFSR data (Saha et al. 2010) is a high  
118 resolution ( $0.5^\circ \times 0.5^\circ$ ) reanalysis that includes all available conventional and satellite  
119 observations. In this study, CFSR data from 1 January 1979 – 31 December 2010 are  
120 considered. More details on this data set can be found at <http://cfs.ncep.noaa.gov/cfsr>.

121 In each of these reanalysis data sets gridded data are available daily at 00, 06, 12,  
122 and 18 UTC. At each of these times the areal extent of the  $-5^\circ\text{C}$ ,  $-10^\circ\text{C}$ ,  $-15^\circ\text{C}$ ,  $-20^\circ\text{C}$ ,  
123 and  $-25^\circ\text{C}$  air at 850 hPa in the Northern Hemisphere in the months of December -  
124 February (boreal winter, skipping leap days) is considered. 850 hPa is chosen as it is  
125 high enough to be above the wintertime boundary layer at low elevation locations but low  
126 enough to be safely considered as lower tropospheric. The method of calculation is  
127 identical for all of the datasets employed in this study. The total hemispheric area

128 occupied by air colder than each threshold at each time is the sum of the areas so  
129 occupied in each grid box and is exact at the resolution of the dataset.

130           Much of the subsequent analysis will emphasize characteristics of the seasonal  
131 and daily areal extent of the  $-5^{\circ}\text{C}$  air at 850 hPa. This choice is motivated by two  
132 primary considerations. First, since  $-5^{\circ}\text{C}$  at 850 hPa is often a reliable discriminator  
133 between liquid and frozen precipitation in mid-latitude winter storms, it is a synoptically  
134 familiar and operationally relevant value. Second,  $-5^{\circ}\text{C}$  is often embedded within the  
135 frontal zones of all but the weakest winter storms and so is subject to substantial  
136 deformation by both horizontal and vertical advection. Though such advections may  
137 influence the day-to-day variability of the areal extent of such air, neither type of  
138 advection can systematically create or destroy cold air. Thus, the fact that  $-5^{\circ}\text{C}$  air at 850  
139 hPa often extends deep into the mid-latitudes makes it particularly illustrative of the fact  
140 that the expansion or contraction of the 850 hPa cold pool over a long time series testifies  
141 to changes in radiative forcing over the same period.

142           The analysis begins by presenting seasonally averaged 850 hPa cold pool areas  
143 for each of the last 66 Northern Hemisphere winters (DJF) at five different threshold  
144 temperatures. Characteristics of the daily averaged values over this time period are  
145 considered subsequently.

### 146 **3. Results**

147           *a) Seasonally averaged trends*

148           The 66-season time series of Northern Hemisphere seasonally averaged<sup>1</sup> 850 hPa  
149 cold pool area at 5 different threshold temperatures is shown in Fig. 1. A number of  
150 important features characterize the time series and are worthy of note. First, the areal  
151 extent of the Northern Hemisphere wintertime cold pool at 850 hPa has systematically  
152 decreased over this interval at all 5 threshold temperatures. Specifically, the decreases<sup>2</sup>  
153 have been 4.74%, 7.11%, 10.24%, 17.71%, and 33.86% at -5°C, -10°C, -15°C, -20°C,  
154 and -25°C, respectively. For each threshold temperature, a linear least-squares trend line  
155 was fit to the seasonally averaged data. In each case, the trend line is statistically  
156 significant above the 99.9% level.

157           The linearly decreasing trends identified in the NCEP/NCAR data are also  
158 identified in the CFSR data (green dots in Fig. 1). Despite the substantially smaller grid  
159 spacing of the CFSR data, the seasonally averaged areas are nearly identical to those  
160 calculated using the NCEP/NCAR data, especially at -5° and -10°C. At colder threshold  
161 temperatures, the areas calculated using the CFSR data are smaller but the trends are  
162 identical. Similar departures from near perfect agreement between the NCEP/NCAR and  
163 ERA-40 data (blue dots in Fig. 1) occur at colder threshold temperatures. These  
164 departures from the NCEP/NCAR data are largest from 1997-98 to 2005-06 (2000-01 for  
165 ERA-40). It is notable that during these seasons, the CSFR and ERA-40 data are in close  
166 agreement at these colder thresholds. Given its longer time series and the general  
166

<sup>1</sup> The average area for a given season is the mean of the 360 6-h areas calculated from 1 December – 28 February in that cold season.

<sup>2</sup> The percentage decrease is measured using the linear trend line as  
$$\left(\frac{Area_{48-49} - Area_{13-14}}{Area_{48-49}}\right) \times 100\%.$$

167 agreement amongst the various data sets with regard to the areal extent of the cold air,  
168 subsequent analyses will exclusively employ the NCEP Reanalysis data set.

169 Also included on Fig. 1, and scaled to fit the ordinate axis, is the time series of  
170 February arctic sea ice extent since 1979 (Serezze et al. 2007). The year-to-year  
171 variability of the time series on this scale is smaller than that of the cold pool area  
172 variability, but the slope of the trend line is very similar to the set of slopes represented  
173 by the five thresholds. Thus, it appears that the late winter extent of the arctic sea ice has  
174 decreased at a rate similar to the shrinking of the lower tropospheric wintertime cold  
175 pool.

176 Another perspective on the seasonally averaged time series of the 850 hPa cold  
177 pool is afforded by Fig. 2 which portrays the time series of the areal extent of  $-5^{\circ}\text{C}$  air in  
178 terms of normalized area<sup>3</sup>. Only 12 of the 43 winter seasons before 1990-91 had below  
179 average seasonally averaged areas whereas 20 of 24 winter seasons have had below  
180 average seasonally averaged areas since. Notably, the winter of 2013-14, notorious for  
181 the persistent nature of the cold it dealt to North America, was the “warmest” season,  
182 hemispherically, in the entire 66-year time series.

183 The relationship between the areal extent of the 850 hPa cold pool and Northern  
184 Hemisphere surface temperature anomalies was explored using three surface temperature  
185 data sets; the GISTEMP (Hansen et al. 2010), NOAA’s Global Historical Climatology  
186 Network – Monthly mean (GHCN-M) version 3 (Lawrimore et al. 2011), and the Hadley  
186

<sup>3</sup> The mean and standard deviation used to normalize these data are those derived from the full 66-year NCEP Reanalysis time series.

187 Centre's HadCRUT4 (Morice et al. 2012). Since only minor differences exist among  
188 these different data sets, only the most poorly correlated time series, the HadCRUT4 ( $r =$   
189  $-0.83337$ ), is illustrated in Fig. 2. The explained variance between the areal extent of the  
190 850hPa wintertime cold pool and Northern Hemisphere average surface temperature is  
191 more than 69% for all 3 data sets.

192 Averaging the 66 daily average areas, at each threshold temperature, for a given  
193 calendar day renders the average areal extent for that threshold temperature for that  
194 calendar day. Figure 3 shows the resulting annual cycle for each of the five chosen  
195 threshold temperatures at 850 hPa. Interestingly, the warmer threshold temperatures  
196 reach peak areal extent approximately two weeks later than the colder temperatures ( $-$   
197  $20^{\circ}\text{C}$  and  $-25^{\circ}\text{C}$ ).

198 Insight into the geographical variability of the cold pool arises by considering the  
199 66-year time series of the seasonally averaged latitude of the  $-5^{\circ}\text{C}$  isotherm around the  
200 globe. The DJF averaged position of the  $-5^{\circ}\text{C}$  isotherm is shown in Fig. 4. The analysis  
201 clearly suggests that the continental regions of Eurasia/Siberia and Canada serve as the  
202 two foci for cold air production/anchoring during Northern Hemisphere winter. Adding  
203 the  $\pm 1\sigma$  bounds to the average latitude demonstrates that not all regions of the  
204 hemisphere are as likely to contribute to an abnormally cold or warm winter as others  
205 (Fig. 4). Notably, the entrance regions of both the Pacific and Atlantic storm tracks are  
206 among the least variable locations whereas regions downstream of the storm tracks are  
207 among the most variable. These inferences are further supported by consideration of the  
208 distribution of the seasonally averaged extreme latitudes at each longitude (Fig. 4).  
209 Particularly prone to a wide range of seasonal extremes is Scandinavia and northwestern

210 Europe with an elongated secondary zone of variability extending from just east of Japan  
211 to the Great Lakes of North America.

212 A linear trend line of the seasonally averaged latitude of the  $-5^{\circ}\text{C}$  isotherm was  
213 calculated for each  $10^{\circ}$  increment of longitude. Only three longitude sectors around the  
214 hemisphere exhibit trends in the average latitude of the  $-5^{\circ}\text{C}$  isotherm that are significant  
215 at or above the 95% level. Two such regions are located at the ends of the Pacific and  
216 Atlantic storm tracks (labeled as A and B, respectively, in Fig. 4). At the end of the  
217 Pacific storm track the  $-5^{\circ}\text{C}$  isotherm has moved poleward by  $\sim 3.13^{\circ 4}$  over the 66-year  
218 time series (Fig. 5a). This poleward excursion reduces the areal extent of the cold pool  
219 by  $8.7193 \times 10^{11} \text{ m}^2$  in this sector which represents over 27% of the observed hemispheric  
220 contraction of the  $-5^{\circ}\text{C}$  cold pool area over this period. At the end of the Atlantic storm  
221 track the  $-5^{\circ}\text{C}$  isotherm has shifted  $\sim 3.35^{\circ}$  poleward over the 66-year time series (Fig.  
222 5b), accounting for nearly 23% ( $7.5455 \times 10^{11} \text{ m}^2$ ) of the observed contraction of the -  
223  $5^{\circ}\text{C}$  cold pool over that interval<sup>5</sup>. Thus, nearly 1/2 of the observed contraction has  
224 systematically taken place in limited longitudinal sectors of the exit regions of the main  
225 storm tracks that characterize Northern Hemisphere winter<sup>6</sup>. These exit regions are,  
226 broadly, the locations of maximum poleward excursion for extratropical cyclones. Given

226

<sup>4</sup> This value represents the mean of the latitudinal displacements at each longitude in the sector as measured using each longitude's trend line.

<sup>5</sup> Region C in Fig. 4 has experienced  $\sim 1.76^{\circ}$  poleward shift of the  $-5^{\circ}\text{C}$  isotherm since 1948-49, accounting for 5% of the observed contraction of the cold pool.

<sup>6</sup> The greater latitudinal change in the Atlantic sector corresponds to a smaller area change because the original latitude of the  $-5^{\circ}\text{C}$  isotherm there is higher than in the Pacific sector.

227 the consensus view that a poleward shift of the mid-latitude storm tracks will likely be a  
228 leading characteristic of a warmer world (e.g. Wang et al. 2006, Wu et al. 2010), the  
229 regional contraction of the cold pool in these areas may be, in part, a manifestation of  
230 larger-scale circulation anomalies born of a changing climate.

231 *b) Interannual variability of the cold pool area*  
232

233 Examination of the daily average areas during each cold season demonstrates that  
234 despite the systematic, long-term decrease in the seasonally averaged areal extent of the  
235 850 hPa cold pool, there is substantial interannual variability. Figure 6 provides an  
236 illustrative example of this variability by overlaying the daily time series of the areal  
237 extent of the  $-5^{\circ}\text{C}$  air for DJF 2011-12 and 2013-14. Ranking the Northern Hemisphere  
238 winter seasons in the time series from “coldest” to “warmest” is accomplished by using  
239 the normalized season-averaged areas portrayed in Fig. 2. By this measure, four of the  
240 five coldest winters since 1948-49 occurred within the seven-year period from 1968-69 to  
241 1974-75 while four of the five warmest years have occurred since 2003-04.

242 The composite daily time series of areal extent of the  $-5^{\circ}\text{C}$  air at 850 hPa for the 5  
243 coldest and 5 warmest years is shown in Fig. 7. During the coldest years, the daily areal  
244 extent fluctuates around the  $+1\sigma$  value throughout the composite season. The composite  
245 daily time series of the warmest years similarly fluctuates around the  $-1\sigma$  value  
246 throughout the season. The fact that the warmest years are characterized by larger  
247 departures from average than the coldest years (evident from Fig. 2) is manifest in Fig. 7  
248 by the fact that the red shaded area (representing the integrated daily average departure

249 from  $-1\sigma$  for the warm seasons) exceeds the blue shaded area (conversely defined for the  
250 cold seasons) for the composite season.

251 Construction of a variety of composites of the 5 coldest and 5 warmest years lends  
252 insight into the differences in DJF hemispheric flow and thermal structure characterizing  
253 these extremes. Perhaps unsurprisingly, the composite coldest years are substantially  
254 colder in Eurasia/Siberia, as well as in western North America, than the warmest years  
255 (Fig. 8a). The abnormal lower tropospheric cold that characterizes these locations in cold  
256 years is reflected in mid-tropospheric troughiness there while anomalous ridging prevails  
257 in the north Atlantic eastward along the Arctic coast of Russia (Fig. 8b), presumably  
258 reflecting the relative lower tropospheric warmth in the Arctic that characterizes cold  
259 years. The resulting meridionally oriented couplet of height perturbations in the north  
260 Atlantic/Arctic region is characteristic of the negative phase of the Arctic Oscillation  
261 (AO) (Thompson and Wallace 1998). The associated difference field in the 300 hPa  
262 wind speeds (Fig. 8c) illustrates that cold years are characterized by a weakened north  
263 Atlantic *and* north Pacific jet stream. In fact, the difference fields can be interpreted as  
264 manifestations of an equatorward displacement of the jet core in both the Atlantic and  
265 Pacific sectors. Since the jet is dynamically tied to the equatorward edge of the cold air,  
266 such a southward shift over so large a portion of the hemisphere would be consistent with  
267 an increased areal extent of the lower tropospheric cold pool.

268 It is reasonable to suspect that a number of variable circumstances and/or  
269 hemispheric circulation anomalies may exert a discernible influence on the interannual  
270 variability of the lower tropospheric cold pool area. Given the intraseasonal dependence  
271 of cold pool expansion and contraction on radiative processes, one might expect that

272 interannual Northern Hemispheric snow cover variations play a substantial role. Indeed,  
273 prior studies by Foster et al (1983) and Cohen and Entekhabi (1999) have explored this  
274 connection in detail. Employing the hemispheric snow cover data set from the Rutgers  
275 University Global Snow Lab (<http://climate.rutgers.edu/snowcover>), the correlation  
276 between the DJF average Northern Hemisphere snow cover and the areal extent of the  
277 850 hPa cold pool is 0.196, suggesting a fairly meager physical connection. Upon  
278 partitioning the cold pool area into separate over-land and over-ocean components,  
279 however, the correlations are 0.4327 and -0.2717, respectively. Lag correlations of DJF  
280 850 hPa cold pool area with October-November snow cover are also extremely low  
281 (0.106) (0.2000 and -0.0958 for over-land and over-ocean, respectively) suggesting that  
282 early season snowfall, though potentially important for shaping the regional complexion  
283 of the coming winter's lower tropospheric temperature, has little bearing on the overall  
284 hemispheric picture.

285         By shifting equatorial convection eastward in the Pacific basin, El Niño (the  
286 warm phase of ENSO) can have a dramatic effect on the seasonal characteristics of the  
287 Pacific jet. In fact, in El Niño years the Pacific jet is often zonally extended to well east  
288 of the dateline (Chu et al. 1993) consistent with the positive 300 hPa zonal wind speed  
289 differences highlighted there in Fig. 8. So, it is plausible that the intensity and phase of  
290 ENSO might have a bearing on the interannual variability of the areal extent of the 850  
291 hPa cold pool. The extremely low correlation (-0.101 in December/January and -0.020 in  
292 January/February) between the cold pool area and the time series of the Multivariate  
293 ENSO Index (MEI, Wolter and Timlin 1993) suggests that a systematic connection does  
294 not exist.

295 A similarly low correlation (-0.078) between the seasonally averaged -5°C cold  
296 pool area and the seasonally averaged Arctic Oscillation (AO) index<sup>7</sup> suggests that the  
297 intensity (and waviness) of the north Atlantic jet also does not systematically influence  
298 the wintertime expansion or contraction of the cold pool. A relationship between 850  
299 hPa cold pool area and the East Asian Winter Monsoon (EAWM) will be considered after  
300 examination of the distribution of cold air that characterizes both extreme cold and  
301 extreme warm events.

#### 302 **4. Some characteristics of extreme events**

303 Another means of gaining insight into the variability of the 850 hPa cold pool is  
304 to consider the frequency distribution of unusually large and small areas. Days with large  
305 (small) areal extent of -5°C air are defined here as those days on which the 850 hPa cold  
306 pool area was at least two standard deviations greater than (less than) the mean for that  
307 calendar day. Over the course of the 66 winters available, there were 117 (187) days of  
308 large (small) area thus defined. Table 1 lists the distribution of each type of event by  
309 decade. To the extent that frequent large daily cold pool areas testify to an unusually  
310 cold season, it appears that the 1970's was easily the NH's coldest decade in the last half  
311 century, trailed substantially by both the 1960's and the 1980's.<sup>8</sup> Note that only 5 large  
311

<sup>7</sup> Daily AO index values from 1 January 1950 were obtained from the website of the National Weather Service Climate Prediction Center (NOAA/NWS/CPC) - [http://www.cpc.ncep.noaa.gov/products/precip/CWlink/daily\\_ao\\_index/ao\\_index.html](http://www.cpc.ncep.noaa.gov/products/precip/CWlink/daily_ao_index/ao_index.html). Correlations between the seasonally averaged AO index and the seasonally averaged cold pool areas of -10°C, -15°C, -20°C, and -25°C are -0.09, -0.17, -0.23, and -0.22, respectively.

<sup>8</sup> Only two winter seasons from the 1940's are available in the data set and yet 5 large area events were recorded in those two seasons.

312 area events have occurred since 1990 and not a single such day has occurred in the last 20  
313 seasons. Of the 187 small area events in the record, more than half (104) have occurred  
314 since 2000. In fact, 79.7% of all small area events in the last 66 winters have occurred  
315 since 1990. These results are broadly consistent with the observed warming in high  
316 latitudes since 1995 (Przybylak 2007) and the fact that the nine warmest years on record  
317 (in terms of globally averaged surface temperatures) have occurred in the last 10 years  
318 (NASA GISS)<sup>9</sup>.

319           It is interesting to consider the geographic distribution of the -5°C air at 850 hPa  
320 on these extreme cold and warm days. In particular, are there elements of the  
321 hemispheric 850 hPa temperature distribution that appear to be characteristic of these  
322 extreme winter days? In order to examine this question, we revisit Table 1 and consider,  
323 for example, all the listed December days on which an extreme cold event occurred. In  
324 some Decembers more than one day meets the criteria for a cold event (e.g. in December  
325 1948 there were 4 such days). In order to eliminate the effect of serial correlation on the  
326 foregoing analysis, in such a case only one qualifying day (December 24, 1948) in that  
327 month was chosen (at random) for comparison against other December days of extreme  
328 cold throughout the 66-year time series. Twelve different Decembers in the time series  
329 contained at least one extreme cold event day. The -5°C isotherm from a single  
330 qualifying day from each such month is plotted as a blue line in Fig. 9a along with a set  
331 of red lines that are the 66-year average -5°C isotherms for the December calendar days  
332 that were selected. A similar subjective filtering was employed to select representative  
332

<sup>9</sup> This report is available at <http://www.nasa.gov/topics/earth/features/2011-temps.html>

333 days for each type of extreme event from each month in the data set. The results of this  
334 analysis are shown in Fig. 9.

335 Overall, the analysis suggests that there are a number of ways in which the  $-5^{\circ}\text{C}$   
336 air is distributed around the Northern Hemisphere during an extreme cold or warm event.  
337 Despite the fairly substantial amount of variability that exists among extreme events, a  
338 few noteworthy common features are evident. For instance, it appears that when the  
339 hemisphere is in a  $+2\sigma$  cold event, there is a cold surge into central and southern China (a  
340 feature that is absent in all but one extreme warm event) suggesting a relationship  
341 between the East Asian Winter Monsoon (EAWM) surge phase and extreme cold events  
342 over the entire hemisphere. The China/West Pacific region exhibits little variability  
343 during cold events as a systematic, fairly uniform equatorward displacement of the  $-5^{\circ}\text{C}$   
344 isotherm relative to its mean position occurs there (Figs. 9a-c). Warm events in this  
345 region are also among the least variable in the hemisphere though the  $-5^{\circ}\text{C}$  isotherm is  
346 not so uniformly poleward of its mean position (Figs. 9d-f) as its cold counterparts are  
347 equatorward.

348 Though characterized by more variability, the difference between extreme cold  
349 and warm events in North America is also quite apparent. This is decidedly not the case  
350 for Europe and western Russia where the difference between extreme cold and warm  
351 events is nearly indistinguishable, especially in December and January (compare Figs.  
352 9a,d and 9b,e). Not until February are most of the individual  $-5^{\circ}\text{C}$  isotherms portrayed in  
353 Fig. 9 in the extreme cold (warm) events finally equatorward (poleward) of their mean  
354 positions in that region of the hemisphere.

355           The ubiquity of the central/southern China cold surge that appears to characterize  
356  $2\sigma$  cold events motivated additional analysis. Figure 10a shows a map of the correlation  
357 between the 66-year time series of December 850 hPa temperature (T) at each grid point  
358 (from 20°N to 90°N) in the NCEP Reanalysis data with the 66-year time series of the  
359 standardized anomaly of December Northern Hemisphere 850 hPa  $-5^{\circ}\text{C}$  cold pool area.  
360 The analysis reveals that cold air in central China is correlated with larger than normal  
361 areal extent of the hemispheric cold pool. This relationship becomes more robust in  
362 January (Fig. 10b) and even more so in February (Fig. 10c) when the strongest  
363 correlation reaches  $-0.52$  about 500 km south of Beijing. Thus, there appears to be some  
364 relationship between the EAWM and the expansion and contraction of the *hemispheric*  
365 850 hPa cold pool. Investigation of the physical processes that might compel this  
366 association is currently ongoing.

## 367 **5. Summary and concluding remarks**

368           Employing three different reanalysis data sets the analysis presented here  
369 demonstrates that the 850 hPa wintertime cold pool has systematically contracted, at each  
370 of several threshold temperatures, since the mid-20<sup>th</sup> century. Though only results for the  
371 Northern Hemisphere have been reported here, similar results were found for the  
372 Southern Hemisphere in the course of this work. The rate of contraction of the Northern  
373 Hemispheric cold pool is nearly identical to that of the February Arctic sea-ice extent  
374 (Serezze et al. 2007), a signal regarded as an important diagnostic of climate change.

375           Angell (2006) examined trends in the size of the DJF 300 hPa circumpolar vortex  
376 (CPV) for the period 1963-2001 via consideration of the area enclosed by the 9120 m

377 isohypse at 300 hPa. He found a statistically significant decrease in vortex size that was  
378 accompanied by an increase in 850-300 hPa temperature. Employing the NCEP  
379 Reanalysis data we extended that analysis (not shown) by calculating the DJF average  
380 area of the CPV over the period 1948/49 – 2013/14 and found that the correlation  
381 between the CPV area thus defined and the 850 hPa -5°C cold pool area was 0.45569.  
382 Additionally, the 300 hPa CPV contraction (significant above the 99% level) has been  
383 only 80% as fast as that of the 850 hPa cold pool.

384         Much of the analysis in this paper has focused on the areal extent of the -5°C air  
385 at 850 hPa. Superimposed upon the cold pool's steady contraction is considerable  
386 interannual variability in both its areal extent and the geographic distribution of the cold  
387 air. Employing daily average time series in each of the winter seasons covered by the  
388 NCEP Reanalysis data, each of the past 66 Northern Hemisphere winter seasons were  
389 ranked from coldest (i.e. largest seasonally-averaged normalized area) to warmest  
390 (smallest seasonally-averaged normalized area). Composite differences between the 5  
391 coldest and 5 warmest years include much colder 850 hPa temperatures in Eurasia/Siberia  
392 and northwest Canada, a tendency for anomalous middle tropospheric ridging over the  
393 north Atlantic and Scandinavia, a weaker north Atlantic jet, and a southward displaced,  
394 extended jet in the central Pacific. These composite differences in the Atlantic sector are  
395 reminiscent of the negative phase of the Arctic Oscillation (AO) though nearly no  
396 correlation exists between the seasonally averaged -5°C cold pool area and the seasonally  
397 averaged AO index.

398         The variability of the cold pool is not uniform across all longitudes. In fact, the  
399 greatest interannual variability is found at the end of the Pacific and Atlantic storm

400 tracks. Within these rather limited regions (Fig. 4) nearly 1/2 of the contraction of the  
401 850 hPa -5°C cold pool has taken place since 1948-49. Whether or not this result is tied  
402 to the poleward migration of the storm tracks as the planet warms is a topic for further  
403 inquiry. It does suggest, however, that, to the extent that long-term contraction of the  
404 cold pool is tied to subtle large-scale circulation changes, those changes are non-  
405 uniformly distributed across the hemisphere.

406         A cursory comparison of the distribution of -5°C air on winter days with large and  
407 small cold pool areas (defined as days with standardized anomalies in area greater than  
408 2.0 or less than -2.0) revealed cold air in central China as a ubiquitous, and nearly  
409 exclusive, characteristic of hemispheric extreme cold events. This observation hints at  
410 the role the East Asian Winter Monsoon (EAWM) may play in the interannual  
411 *hemispheric* variability of the 850 hPa cold pool. Jaffe et al. (2011) found that the  
412 EAWM index of Jhun and Lee (2004), which focuses on the meridional shear of the 300  
413 hPa wind near the Pacific jet entrance region, was significantly correlated with the rapid  
414 decrease in wind speed at the Pacific jet exit region that characterized what Jaffe et al.  
415 (2011) termed jet retraction. Specifically, jet retraction events appear to be strongly  
416 related to break periods in the EAWM. Analyses presented here (Figs. 8 and 9)  
417 conversely suggest that cold surges into central China (Figs. 9a-c), characteristic of  
418 hemispheric cold events, may be associated with extended Pacific jets (Fig. 8c). A recent  
419 study by Wang and Chen (2014) introduces a new intensity index for the EAWM that  
420 incorporates both north-south and east-west sea-level pressure (SLP) gradients. Using  
421 this index they identified 16 strong EAWM winters (i.e. those characterized by  
422 numerous, intense cold surges off the coast of China). Eleven of those 16 were in the top

423 17 coldest winters as measured by the normalized  $-5^{\circ}\text{C}$  cold pool area (Fig. 2). Thus,  
424 nearly 2/3 of the coldest quartile of winters since 1948 have been characterized by a  
425 strong EAWM. Despite this intriguing relationship, the correlation between the  
426 seasonally averaged  $-5^{\circ}\text{C}$  cold pool area and the Wang and Chen (2014) EAWM index is  
427 small (0.277). A similarly meager correlation (0.2369) exists between the seasonally  
428 averaged cold pool area and the seasonally averaged Siberian High Index (SHI)<sup>10</sup> first  
429 proposed by Gong et al. (2002). Though both of these correlations are small, they are  
430 notably larger than the correlations to other global scale phenomena reported earlier.  
431 Thus, the nature of this possible physical connection as well as its consequences for  
432 hemispheric circulation changes is the topic of ongoing research. In order to identify  
433 characteristic precursor disturbances and describe their synoptic evolutions, a necessary  
434 component of this work will be examination of case studies of substantial intraseasonal  
435 cold pool expansions characterized by a cold central China.

436 Finally, extension of the cold pool analysis described here to output from the suite  
437 of climate models employed in the Fifth Climate Model Intercomparison Project  
438 (CMIP5), also currently underway, offers a straightforward way to diagnose the  
439 component model's performances with respect to reanalysis depictions of both the long-  
440 term and interannual cold pool variability. Employing simulations from the models that  
441 demonstrate the greatest fidelity to the reanalyses would permit confident consideration  
442 of future projections of lower tropospheric temperature trends and associated circulation

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<sup>10</sup> The SHI is the average sea-level pressure over the region  $70^{\circ}$  to  $120^{\circ}\text{E}$  and  $40^{\circ}$  to  $60^{\circ}\text{N}$ . It was calculated using the NCEP Reanalysis data over the entire 66-year time series.

443 anomalies. In fact, employing such model output it would be feasible to extend the  
444 analysis method described here to three dimensions in order to consider long-term trends  
445 in the *masses* of free tropospheric air cooled to certain potential temperatures during  
446 winter. Such an analysis would then directly convert the mass differences to energy  
447 differences allowing for more precise comparison to other independent calculations of  
448 changes in the Earth's energy budget.

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<b><i>+2<math>\sigma</math> "Cold" Events</i></b>	<b><i>-2<math>\sigma</math> "Warm" Events</i></b>
<b>1940s (5)</b> 1948 – Dec 22-25 1949 – Dec 12	<b>1940s (0)</b>
<b>1950s (12)</b> 1952 – Dec 1,2 1954 – Jan 28 1956 – Dec 22, Feb 1-2, 15-20	<b>1950s (11)</b> 1950 – Jan 21 1954 – Dec 19 1956 – Jan 7, 11-13 1958 – Dec 20, Jan 27-29 1959 – Jan 23
<b>1960s (25)</b> 1964 – Dec 1-2 1966 – Dec 26-27, 29 1967 – Jan 2-3, 6-8, Feb 14, 22 1968 – Dec 31 1969 – Jan 1, 3-7, Feb 3, 7-8, 26-28	<b>1960s (4)</b> 1966 – Dec 5 1969 – Dec 5-7
<b>1970s (46)</b> 1970 – Dec 26-27, Jan 4-7 1972 – Jan 26-31, Feb 1-3, 7-9 1974 – Dec 13,19, Jan 17, Feb 5, 24-26 1975 – Dec 12-15 1977 – Jan 1-2, 9-15, 17, 31 1978 – Feb 9-10, 14-17	<b>1970s (9)</b> 1972 – Dec 4 1974 – Feb 15-17 1979 – Dec 4-6, 14, 20
<b>1980s (24)</b> 1980 – Jan 29-31, Feb 4-5 1984 – Dec 22, 28-31, Jan 18-22, Feb 6 1985 – Dec 1-2, Jan 1, 15 1986 – Feb 8-10, 28	<b>1980s (16)</b> 1981 – Jan 23-24 1982 – Dec 21-22, 27, Feb 27-28 1983 – Jan 27-28 1987 – Feb 4-8, 10-11
<b>1990s (5)</b> 1993 – Jan 14-15, Feb 24 1994 – Feb 12-13	<b>1990s (43)</b> 1990 – Dec 15, 18 1993 – Dec 15, Feb 3, 9-10 1995 – Dec 29-30, Feb 15-16 1996 – Jan 14 1997 – Dec 17, Feb 25-26 1998 – Dec 13-14, 16-19, Feb 12-14, 16-26 1999 – Dec 28, Jan 18-23, Feb 13-14
<b>2000s (0)</b>	<b>2000s (72)</b> 2002 – Jan 4-12, Feb 8, 11-12, 19-20 2003 – Dec 1-3, 17-22, 24-30, Jan 16-18, 21 2004 – Dec 16, Feb 19-22, 24-26 2005 - Dec 24-25 2006 – Dec 8-13 2007 – Jan 1-4 2008 – Dec 1-4, 8 2009 – Jan 19-22, 25-26, 30-31, Feb 3, 8-11
<b>2010s (0)</b>	<b>2010s (32)</b> 2010 – Dec 7-9, 13-14, 20, Jan 14-17, Feb 27-28 2013 – Dec 27-31, Jan 27-31, Feb 1 2014 – Jan 1,7,22-23,25-29

TABLE 1 – List of all calendar dates (DJF) on which the areal extent of the 850 hPa -5°C air (as measured using the NCEP Reanalysis data) was observed to be at least 2 $\sigma$  above (below) the 66-year daily average for that calendar day. The text describes these occurrences as extreme cold (+2 $\sigma$ ) and extreme warm (-2 $\sigma$ ) events

## FIGURE CAPTIONS

492

493 Fig. 1 Time series of seasonally averaged areal extent of 850 hPa cold pool at 5  
494 indicated threshold temperatures. Black line with black dots is the 66-year time series  
495 derived from the NCEP Reanalysis data. Blue line with blue dots is the 44-year time  
496 series derived from the ERA-40 data. Green line with green dots is the 30-year time  
497 series derived from the NCEP CFSR data. Red lines represent the trend lines (significant  
498 at the 99.9% level) calculated using the NCEP Reanalysis time series. Orange line with  
499 squares is the 30-year time series of February sea-ice extent with magenta line indicating  
500 the trend (significant at the 99.9% level).

501 Fig. 2 Time series of normalized DJF-average areal extent of the  $-5^{\circ}\text{C}$  air at 850 hPa.  
502 Blue (red) columns represent the extent above (below) average seasonally averaged  
503 area for a given season. Solid gray line is the DJF-average Northern Hemisphere  
504 surface temperature anomaly (from the 1961-1990 average) from the HadCRUT4  
505 data (Morice et al. 2012). The two time series are correlated at  $-0.83337$ . Similar  
506 correlations exist for the GISTEMP ( $-0.83355$ ) and NOAA GHCN-M version 3  
507 ( $-0.837097$ ) temperature anomaly data sets.

508 Fig. 3 Daily averaged area of 850 hPa cold pool at 5 threshold temperatures derived  
509 from 66 years of NCEP Reanalysis data. Gray shading identifies 1 December - 28  
510 February and indicated calendar dates correspond to the day of peak extent of the 850  
511 hPa cold pool at the indicated threshold.

512 Fig. 4 66-year average DJF latitude (dashed line) of the  $-5^{\circ}\text{C}$  isotherm at 850 hPa from  
513 the NCEP Reanalysis data. Green shading indicates  $\pm 1\sigma$  from that average while the

514 solid blue (red) line represents the minimum (maximum) latitude of the  $-5^{\circ}\text{C}$  isotherm at  
515 each longitude over the time series. Yellow shaded regions are regions in which the trend  
516 in latitude over the 66-year time series is significant above the 95% level. See text for  
517 explanation.

518 Fig. 5 66-year time series of the DJF average latitude of the  $-5^{\circ}\text{C}$  isotherm at 850 hPa  
519 from the NCEP Reanalysis at selected longitudes for the (a) eastern Pacific region  
520 (labeled A in Fig. 4), and (b) the eastern Atlantic region (labeled B in Fig. 4). The dashed  
521 black line in (a) and (b) represents the trend line significant above the 95% level.

522 Fig. 6 Daily average area for DJF (1 December - 28 February) in 2011-2012 (solid black  
523 line) and 2013-2014 (dashed black line). Thick blue line represents the 66-year daily  
524 average over DJF from the NCEP Reanalysis data. Gray shading indicates the  $\pm 1$   
525 standard deviation of the daily average area.

526 Fig. 7 Daily average areal extent of  $-5^{\circ}\text{C}$  air at 850 hPa for the 5 coldest years (1968-  
527 69, 71-72, 63-64, 76-77, 74-75 - solid black line) and the 5 warmest years (2013-14, 03-  
528 04, 97-98, 06-07, 08-09 - dashed black line) in the 66-year NCEP Reanalysis time series.  
529 Bold blue line is the 66-year daily average and gray shading indicates the  $\pm 1$  standard  
530 deviation ( $\sigma$ ) of the daily average area. Light blue (red) shading represents the departure  
531 of the cold (warm) days from the daily average plus (minus) one  $\sigma$ .

532 Fig. 8 Difference between the composite five “coldest” and five “warmest” winter  
533 seasons in terms of (a) 850 hPa temperature (T), (b) 500 hPa geopotential height ( $\phi$ ), and  
534 (c) 300 hPa zonal wind (U). 850 hPa T differences (in (a)) labeled in K and contoured  
535 every  $\pm 1$  K with negative (positive) differences in dashed (solid) blue (red). 500 hPa  $\phi$

536 differences (in (b)) labeled in m and contoured every +/- 10 m with negative (positive)  
537 differences in dashed (solid) blue (red). 300 hPa U differences (in (c)) labeled in  $m s^{-1}$   
538 and contoured every +/- 1  $m s^{-1}$  with negative (positive) differences in dashed (solid) blue  
539 (red). Dashed black-yellow line in (c) is the DJF climatological position of the 300 hPa  
540 jet axis.

541 Fig. 9 (a) Blue lines are daily averaged  $-5^{\circ}C$  isotherm on 12 select December days (see  
542 text for explanation) when the areal extent of  $-5^{\circ}C$  air was greater than  $2\sigma$  above the 66  
543 year mean for that day. Thick red lines are the 66-year daily average  $-5^{\circ}C$  isotherms for  
544 those calendar days. (b) As for (a) but for the 11 select days in January. (c) As for (a) but  
545 for the 11 select days in February. (d) Red lines are the daily averaged  $-5^{\circ}C$  isotherm on  
546 20 select December days when the areal extent of the  $-5^{\circ}C$  air was less than  $2\sigma$  below the  
547 66 year mean for that day. Thick blue lines are the 66-year daily average  $-5^{\circ}C$  isotherms  
548 for those calendar days. (e) As for (d) but for the 15 such days in January. (f) As for (d)  
549 but for the 13 select days in February.

550 Fig. 10 (a) Map of correlation between the daily average December 850 hPa temperature  
551 at each grid point (from 1948 - 2013) in the NCEP Reanalysis data to the daily time  
552 series of normalized Northern Hemisphere cold pool area for each December day in that  
553 interval. Magnitudes of correlations significant at the 95% level are contoured and  
554 shaded every 0.05 beginning at -0.25. (b) As for Fig. 10a but for January days from  
555 1948-2014. (c) As for Fig. 10a but for February days from 1948-2014.

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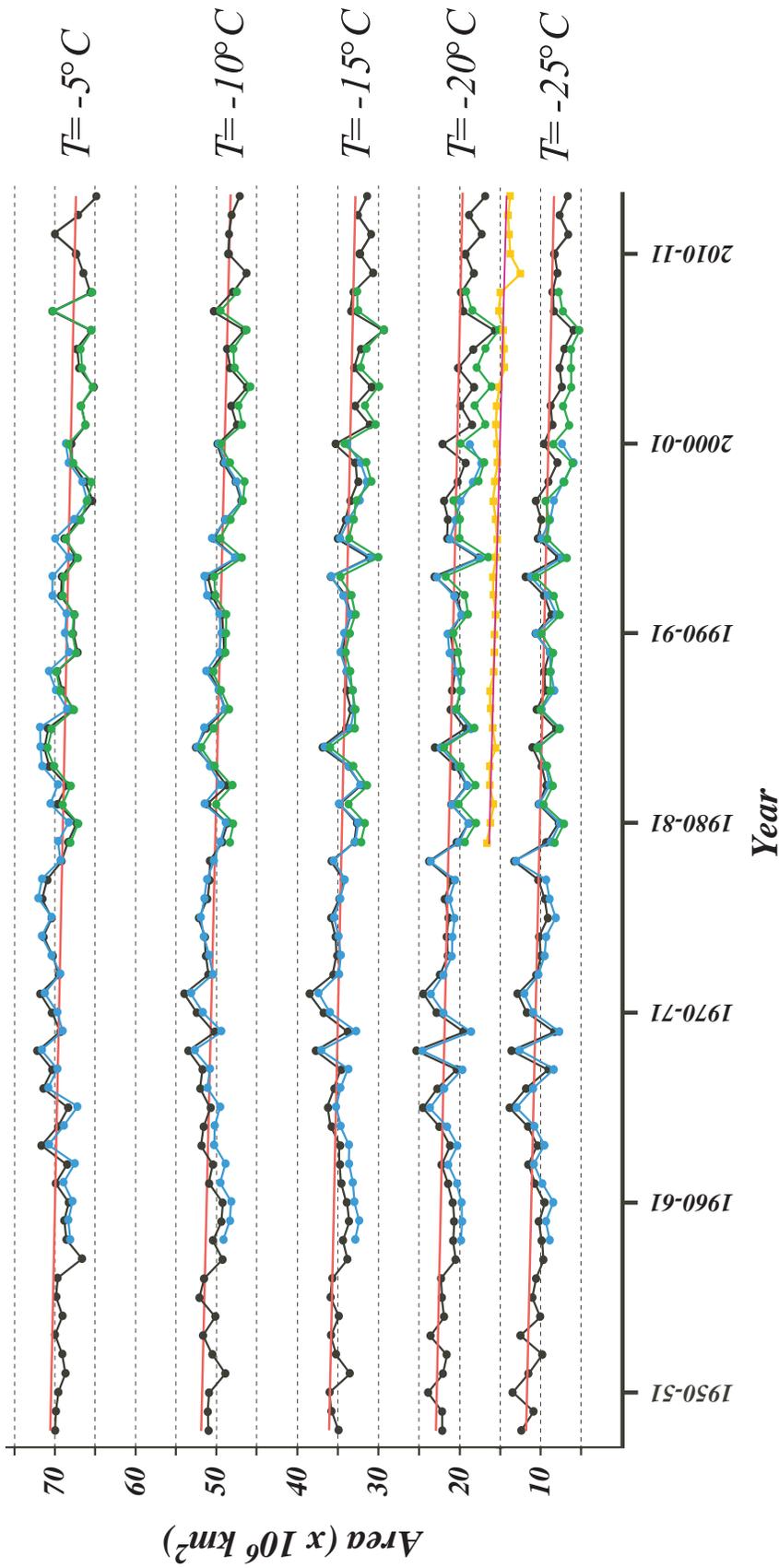


Fig. 1 Time series of seasonally averaged areal extent of 850 hPa cold pool at 5 indicated threshold temperatures. Black line with black dots is the 66-year time series derived from the NCEP Reanalysis data. Blue line with blue dots is the 44-year time series derived from the ERA-40 data. Green line with green dots is the 30-year time series derived from the NCEP CFSR data. Red lines represent the trend lines (significant at the 99.9% level) calculated using the NCEP Reanalysis time series. Orange line with squares is the 35-year time series of February sea-ice extent with magenta line indicating the trend (significant at the 99.9% level).

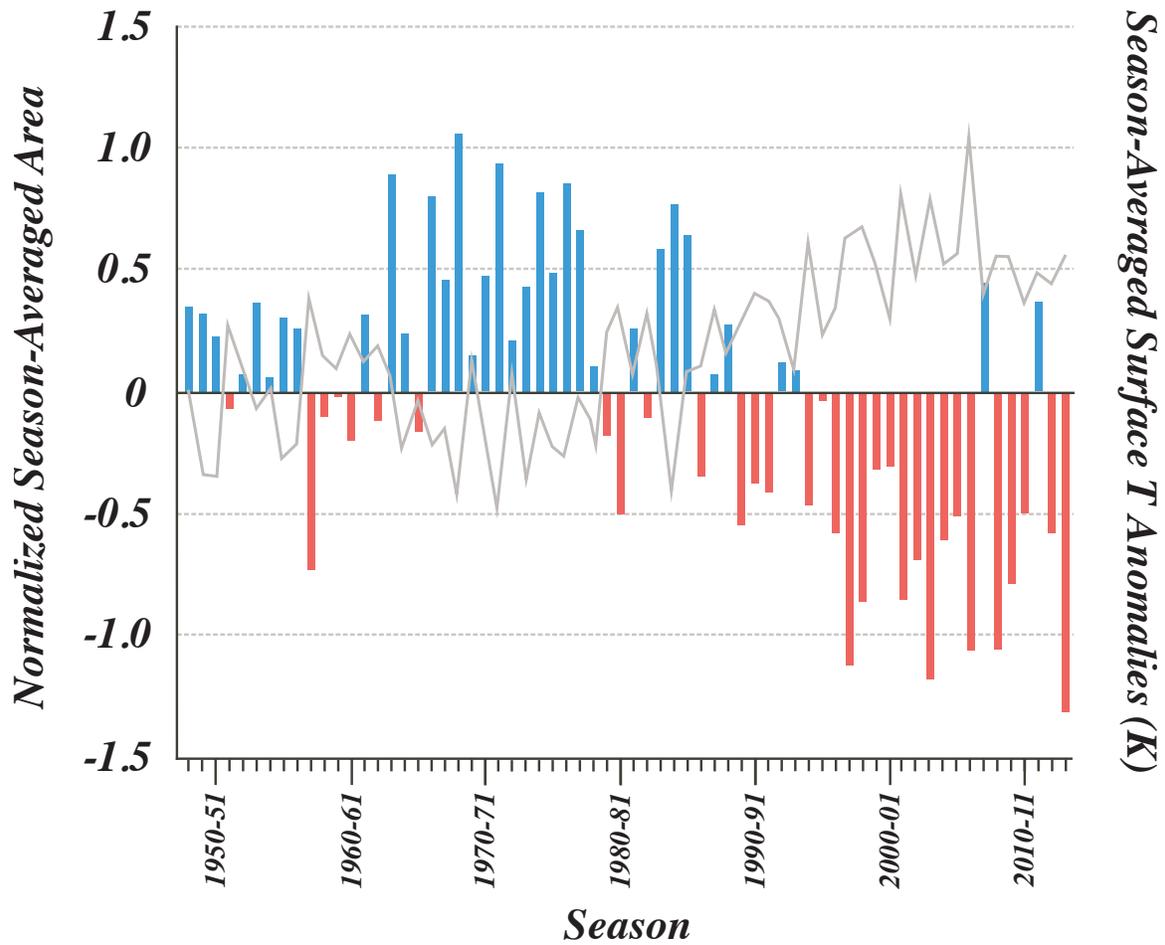


Fig. 2 Time series of normalized DJF-average areal extent of the  $-5^{\circ}\text{C}$  air at 850 hPa. Blue (red) columns represent the extent of the above (below) average seasonally-averaged area for a given season. Solid gray line is the DJF-average Northern Hemisphere surface temperature anomaly (from the 1961-1990 average) from the HadCRUT4 data (Morice et al. 2012). The two time series are correlated at  $-0.83337$ . Similar correlations exist for the GISTEMP ( $-0.83355$ ) and NOAA GHCN-M version 3 ( $-0.83797$ ) surface temperature anomaly data sets.

# 1948-2013 Daily Average Area

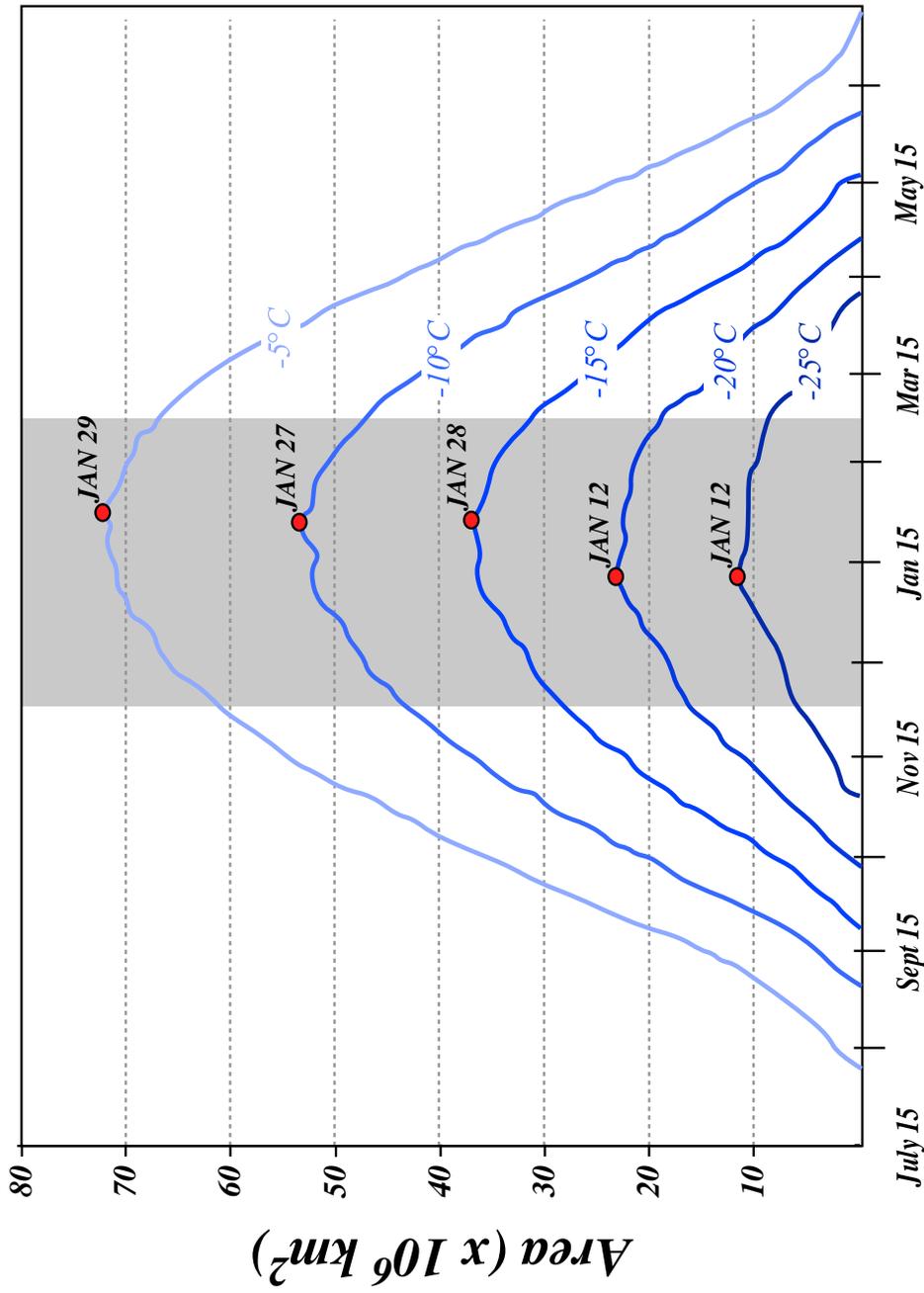


Fig. 3 Daily averaged area of 850 hPa cold pool at 5 threshold temperatures derived from 66 years of NCEP Reanalysis data. Gray shading identifies 1 December - 28 February and indicated calendar dates correspond to the day of peak extent of the 850 hPa cold pool at the indicated threshold.

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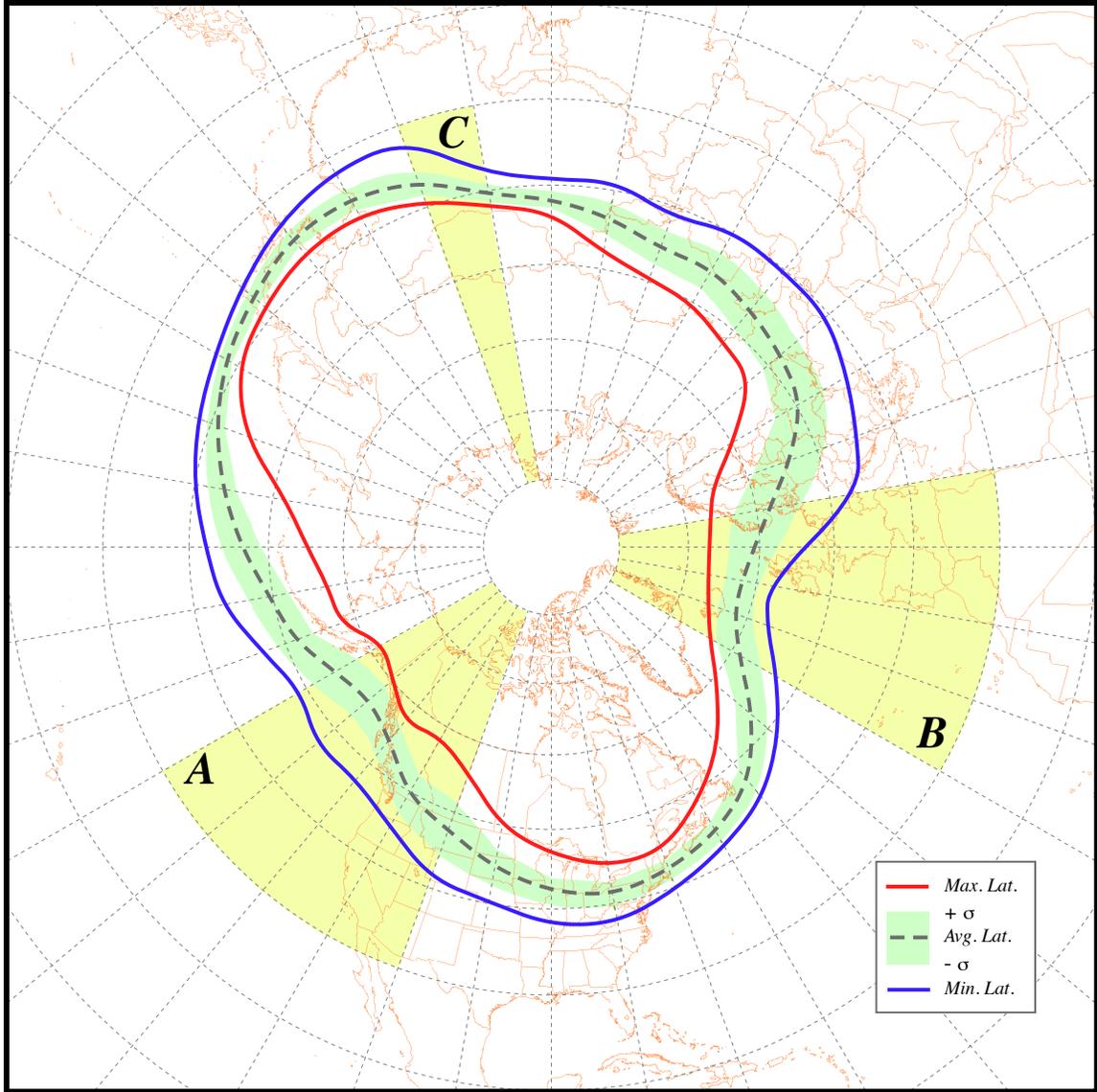


Fig. 4 66-year average DJF latitude (dashed line) of the  $-5^{\circ}\text{C}$  isotherm at 850 hPa from the NCEP Reanalysis data. Green shading indicates  $\pm 1\sigma$  from that average while the solid blue (red) line represents the minimum (maximum) latitude of the  $-5^{\circ}\text{C}$  isotherm at each longitude over the time series. Yellow shaded regions are regions in which the trend in latitude over the 66-year time series is significant above the 95% level. See text for explanation.

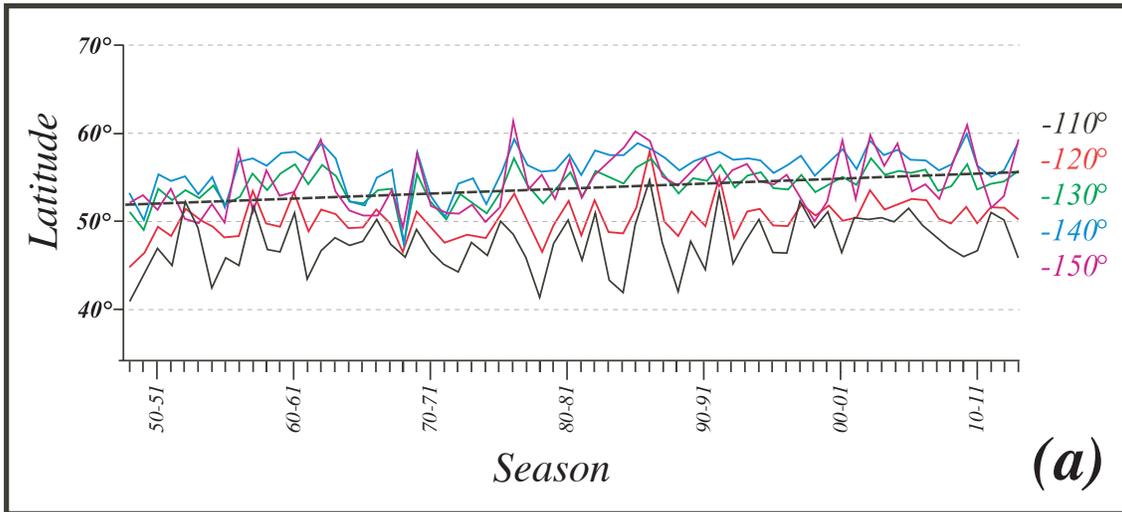
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### *Eastern Pacific Region (A)*



### *Eastern Atlantic Region (B)*

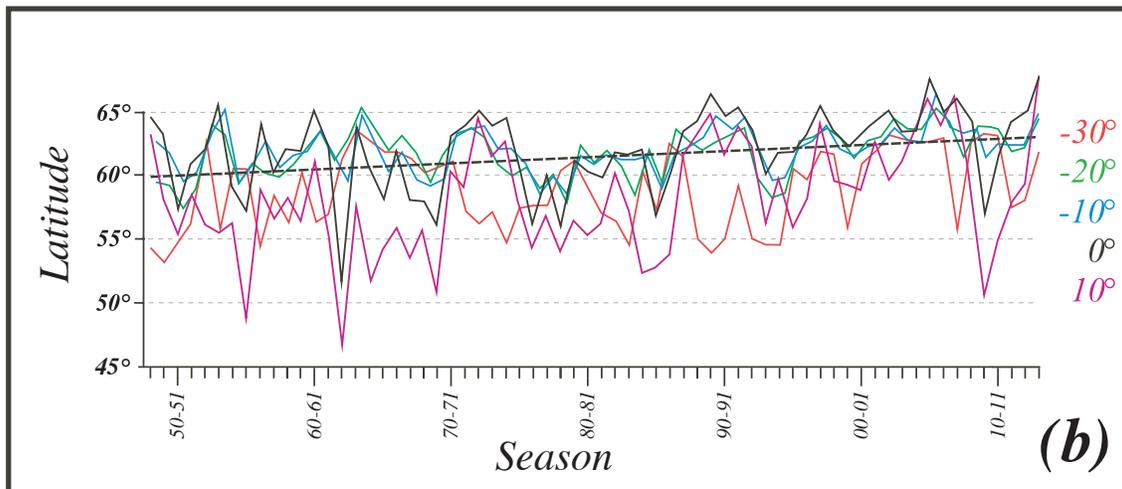


Fig. 5 66-year time series of the DJF average latitude of the  $-5^{\circ}\text{C}$  isotherm at 850 hPa from the NCEP Reanalysis at selected longitudes for the (a) eastern Pacific region (labeled A in Fig. 4), and (b) the eastern Atlantic region (labeled B in Fig. 4). The dashed black line in (a) and (b) represents the trend line significant above the 95% level.

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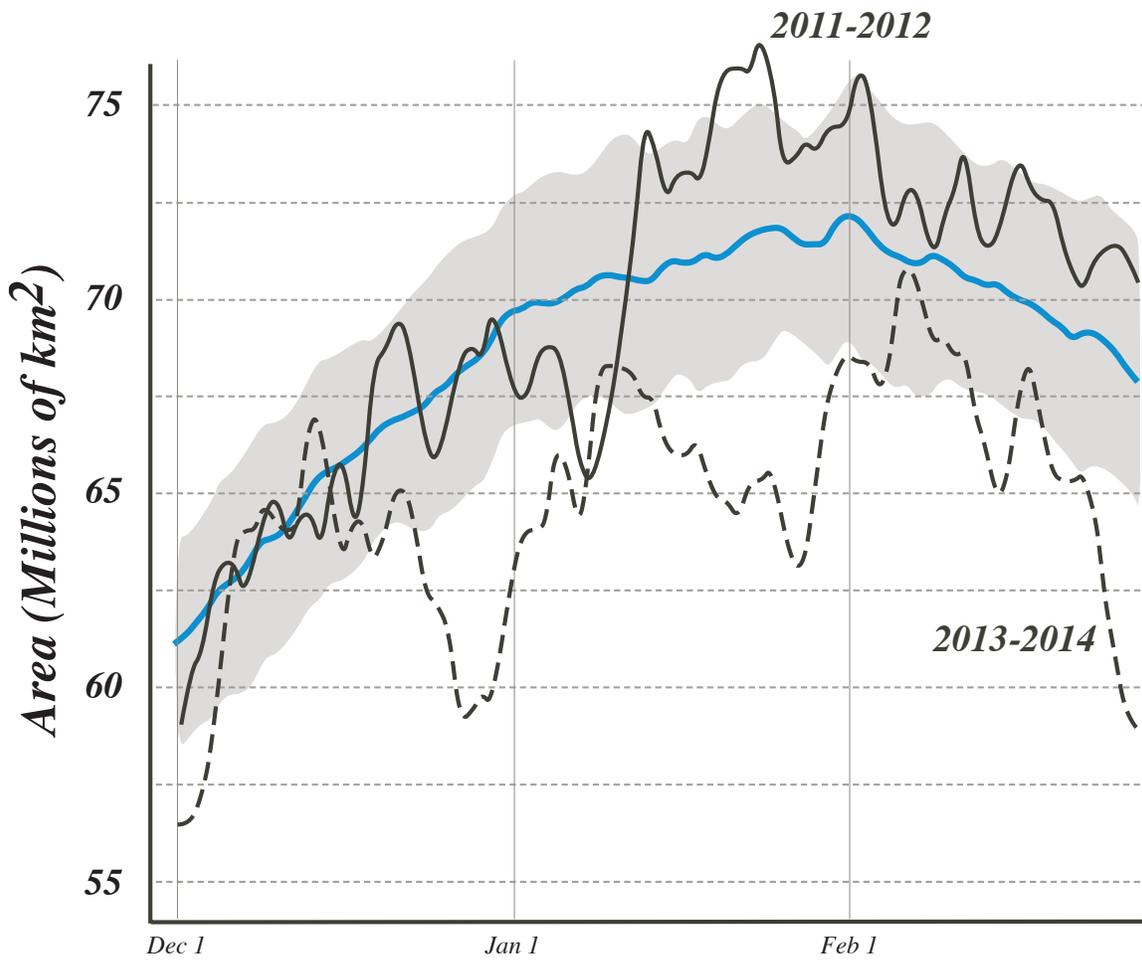


Fig. 6 Daily average area for DJF (1 December - 28 February) in 2011-2012 (solid black line) and 2013-2014 (dashed black line). Thick blue line represents the 66-year daily average over DJF from the NCEP Reanalysis data. Gray shading indicates the +/-1 standard deviation of the daily average area.

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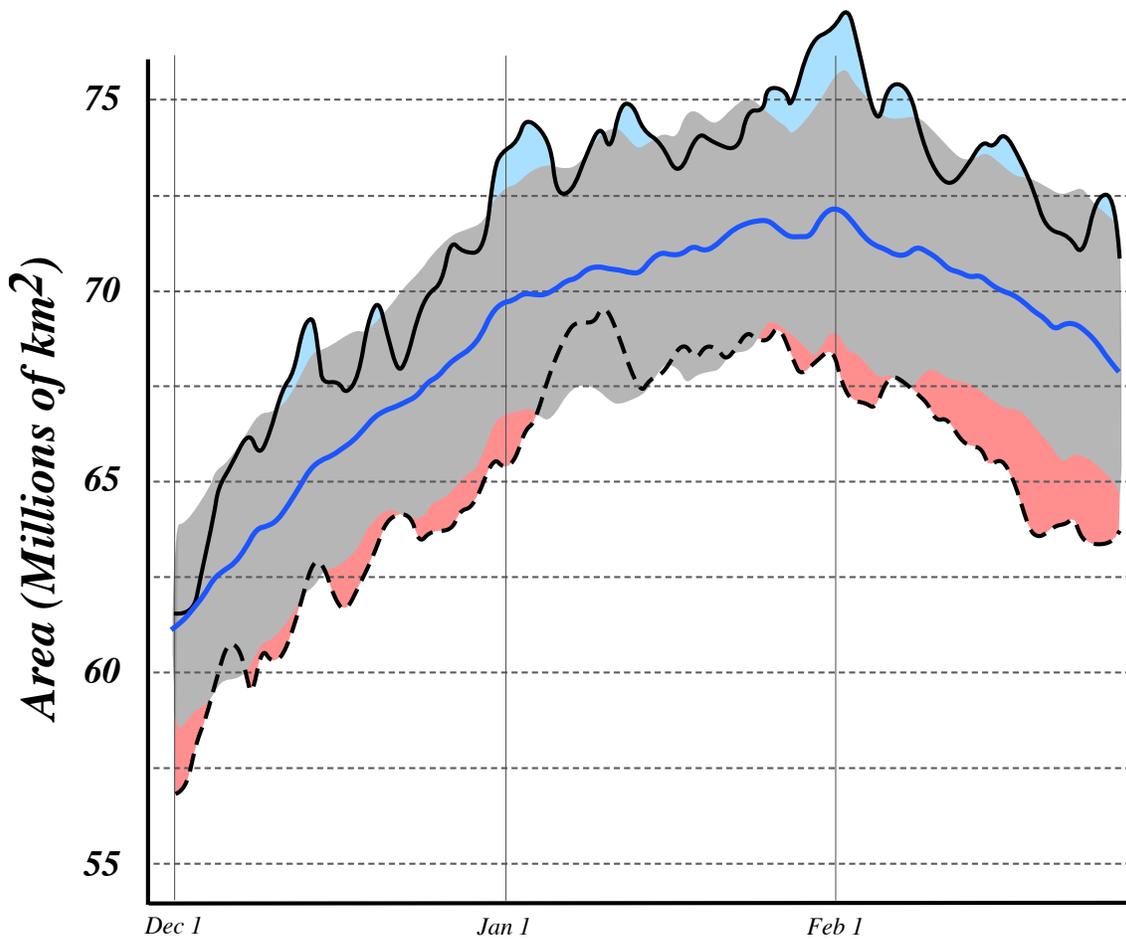


Fig. 7 Daily average areal extent of  $-5^{\circ}\text{C}$  air at 850 hPa for the 5 coldest years (1968-69, 71-72, 63-64, 76-77, 74-75 - solid black line) and the 5 warmest years (2013-14, 03-04, 97-98, 06-07, 08-09 - dashed black line) in the 66-year NCEP Reanalysis time series. Bold blue line is the 66-year daily average and gray shading indicates the  $\pm 1$  standard deviation ( $\sigma$ ) of the daily average area. Light blue (red) shading represents the departure of the cold (warm) days from the daily average plus (minus) one  $\sigma$ .

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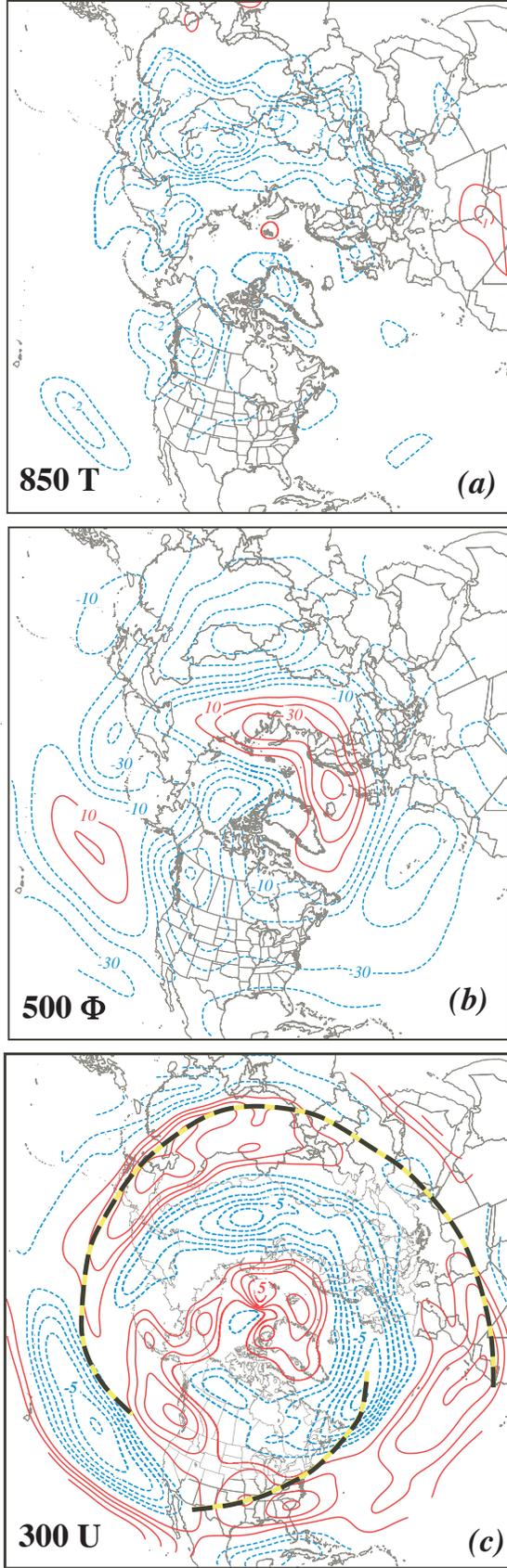


Fig. 8 Difference between the composite five “coldest” and five “warmest” winter seasons in terms of (a) 850 hPa temperature (T), (b) 500 hPa geopotential height ( $\Phi$ ), and (c) 300 hPa zonal wind (U). 850 hPa T differences (in (a)) labeled in K and contoured every  $\pm 1$  K with negative (positive) differences in dashed (solid) blue (red). 500 hPa  $\Phi$  differences (in (b)) labeled in m and contoured every  $\pm 10$  m with negative (positive) differences in dashed (solid) blue (red). 300 hPa U differences (in (c)) labeled in  $\text{m s}^{-1}$  and contoured every  $\pm 1$   $\text{m s}^{-1}$  with negative (positive) differences in dashed (solid) blue (red). Dashed black-yellow line in (c) is the DJF climatological position of the 300 hPa jet axis.

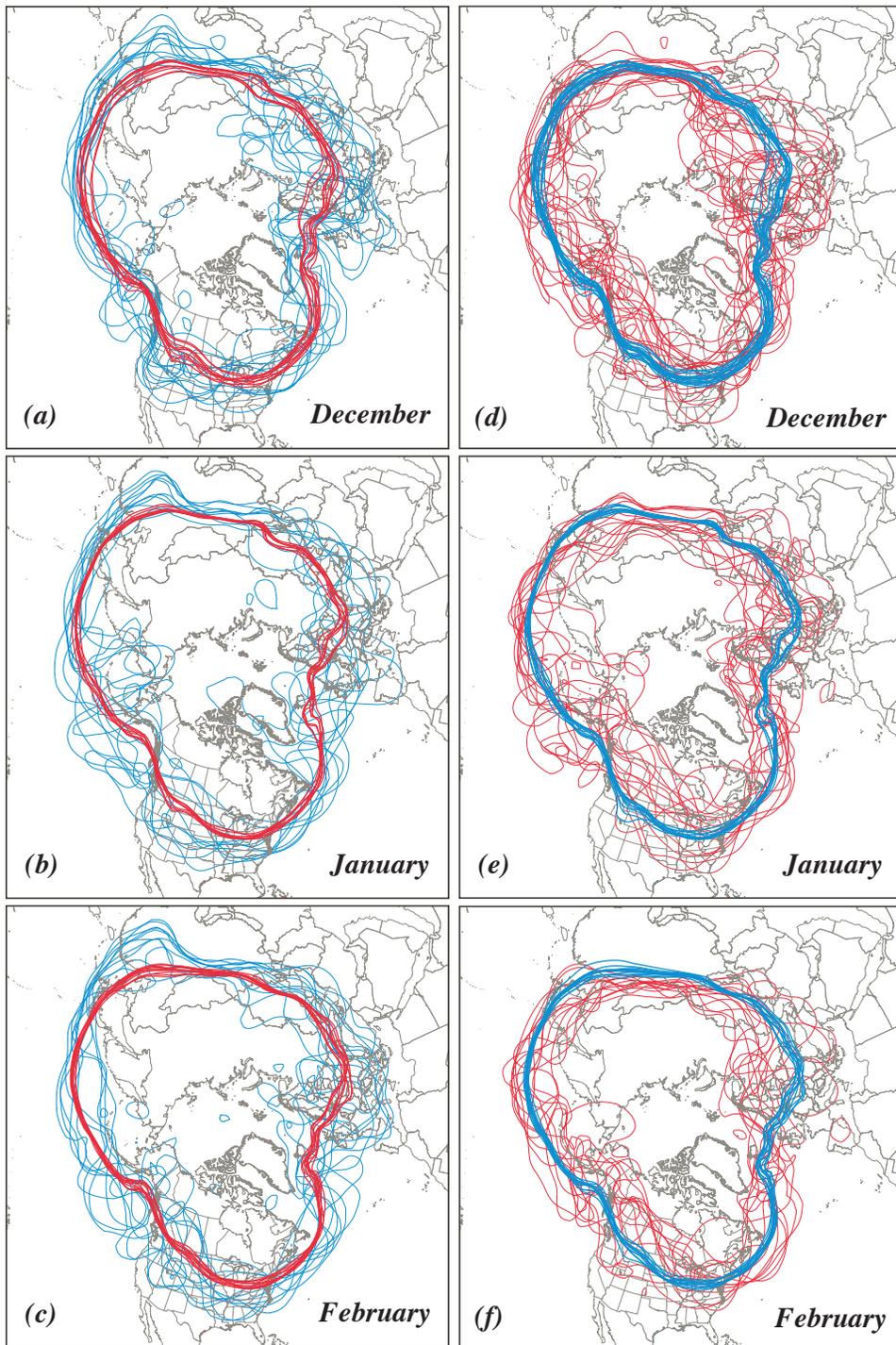


Fig. 9 (a) Blue lines are daily averaged  $-5^{\circ}\text{C}$  isotherm on 12 select December days (see text for explanation) when the areal extent of  $-5^{\circ}\text{C}$  air was greater than  $2\sigma$  above the 66 year mean for that day. Thick red lines are the 66-year daily average  $-5^{\circ}\text{C}$  isotherms for those calendar days. (b) As for (a) but for the 11 select days in January. (c) As for (a) but for the 20 select December days when the areal extent of the  $-5^{\circ}\text{C}$  isotherm on 20 select December days when the areal extent of the  $-5^{\circ}\text{C}$  air was less than  $2\sigma$  below the 66 year mean for that day. Thick blue lines are the 66-year daily average  $-5^{\circ}\text{C}$  isotherms for those calendar days. (d) As for (d) but for the 15 such days in January. (e) As for (d) but for the 13 select days in February.

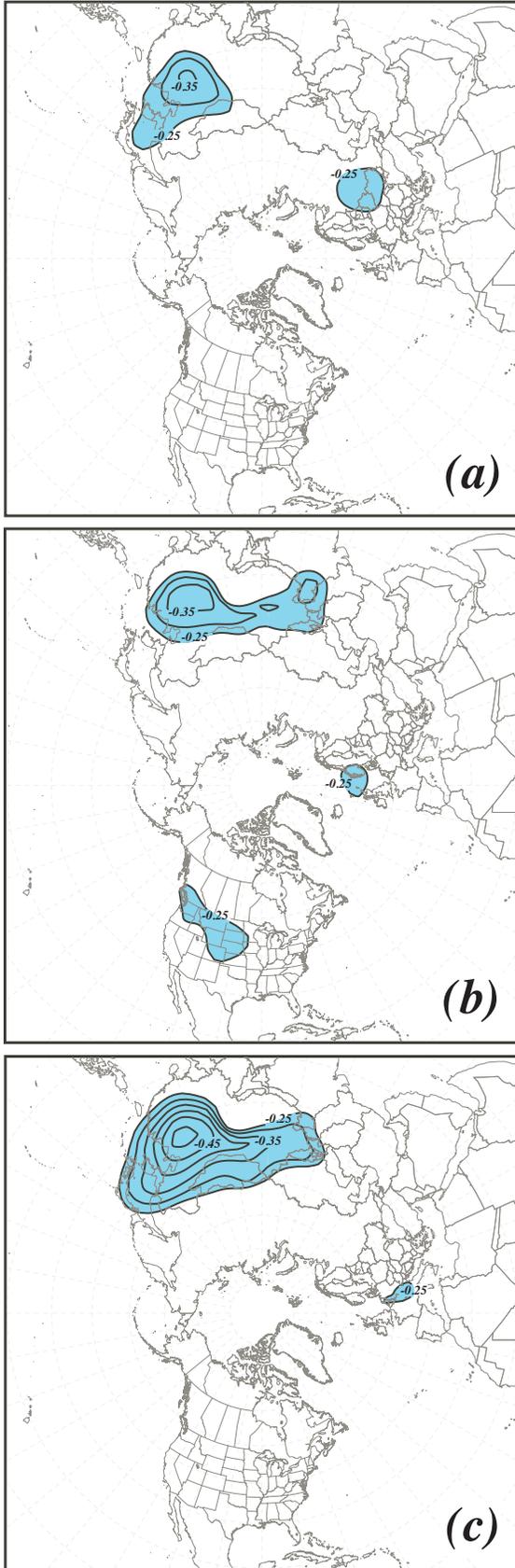


Fig. 10 (a) Map of correlation between the daily average December 850 hPa temperature at each grid point (from 1948 - 2013) in the NCEP Reanalysis data to the daily time series of normalized Northern Hemisphere cold pool area for each December day in that interval. Magnitude of correlations significant at the 95% level are contoured and shaded every 0.05 beginning at -0.25. (b) As for Fig. 10a but for January days from 1949-2014. (c) As for Fig. 10a but for February days from 1949-2014.